RESEARCH QUALITY INTERNAL AUDIT REPORT



QUALITY ASSURANCE FACULTY OF SOCIAL SCIENCES AND LAW

UNIVERSITAS NEGERI SURABAYA SEPTEMBER 2022

ENDORSEMENT PAGE

Stating that the Researh Quality Internal Audit Report of the Faculty of Social Sciences and Law, State University of Surabaya, was made truthfully.

Surabaya, 25 September 2022

Know, Dean of the Faculty of Social Sciences and Law



Prof. Dr. Muhammad Turhan Yani, MA NIP. 197703012002121003 Head of Quality Assurance Group

Dr. Muzayanah, ST, MT NIP. 197012162005012001

Research Standard Internal Quality Audit Report

1. Objective

Internal Quality Audit research standards aim to ensure compliance with the minimum criteria regarding research which includes research results standards (9), research content (10), research process (11), research assessment (12), researchers (13), research facilities and infrastructure (14), research management (15), and research funding and funding (16).

2. Results of the Management Review Meeting (Year previously) Findings that were followed up based on the Management Review Meeting (RTM) and completion of the TS-1 Follow-up Plan (RTL)

There were no RTM and RTL in the previous year

3. Which action taken

Summary of the policies adopted by the Faculty in the Management Review Meeting (RTM) in following up the TS-1 Follow-up Plan (RTL)

There were no RTM and RTL in the previous year

4. Standard Evaluation Study

The following is a table of AMI results based on the results of the Research Standard Internal Quality Audit (9-16) which was carried out on September 1-9 2022 in the History Education Study Program, State Administration Study Program, Social Studies Education Study Program, Sociology Study Program, Geography Education Study Program, Study Program Communication Studies, PPKn Study Program, Science Study Program Law.

| No | Description of activities | Results Observati on | Results KTS | Information | Plan Completion |
|----|---------------------------|----------------------------|----------------|-------------|--------------------|
| 1. | Usage audits | | KTS | No | November 10th |
| | evaluation result | | | fulfil | 2022 |
| | for repair | | | indicator | |
| | research relevance | | | in | |
| | | | | standard | |
| 2. | Data document audits | | KTS | No | October 20, 2022 |
| | number of studies | | | fulfil | |
| | thesis | | | indicator | |
| | student who | | | in | |
| | according to the road | | | standard | |
| | map | | | | |
| | lecturer research | | | | |
| 3. | Document audit | | KTS | No | Budget 2023 |
| | RAB research from | | | fulfil | |
| | study program | | | indicator | |
| | | | | in | |
| | | | | standard | |

Table 1 AMI Results of PPKn Research Standards

The conclusions of the results of the AMI Research Standards for the PPKn Study Program are as follows: based on the findings above the number of findings OB = 1and KTS = 3 follow-up plans with the study program will allocate research funds of 10 million / year for lecturers, as well as conduct training and motivation for lecturers to compete for good research grants from DRPM and international as well as controlling the results of lecturer research evaluations according to the research roadmap study program

| No | Description of activities | Observ ation Results | KTS resul ts | Information | Completio n Plan |
|----|---|----------------------------|--------------------|---|--------------------------|
| 1. | Use of evaluation results for relevance improvement study | | KTS | No fulfil indicator in standard | November 10th 2022 |

Table 2 Results of AMI Research Standards of Public Administration Study Program

The conclusions of the results of the AMI Research Standards of the State Administration Study Program are as follows:

Based on the findings above, the number of findings OB = 0 and KTS = 1, the study program follow-up plans will evaluate and control the results of the lecturer's research according to the study program's research roadmap.

| No | Description of activities | Observ ation | KTS resul | Information | Completio n Plan |
|----|--|-----------------|--------------|-------------|---------------------|
| | | Results | ts | | |
| 1. | Evidence of the researcher's evaluation of the results | | KTS | No | November 10th |
| | research according to the road map | | | fulfil | 2022 |
| | 1 | | | indicator | |
| | | | | in | |
| | | | | standard | |
| 2. | RAB Research from Prodi | | KTS | No | Budget |
| | | | | fulfil | 2023 |
| | | | | indicator | |
| | | | | in | |
| | | | | standard | |

Table 3 AMI Results of Legal Studies Study Standards

The conclusions of the results of the AMI Research Standards for the Law Study Program are as follows: Based on findings on amount findings OB = 1 And KTS = 1 follow- up plan with the study

program will allocate research funds of 10 million/year for lecturers, as well as control the results of the lecturer's research evaluation according to the research roadmap study program

| No | Description of activities | Observ ation | KTS resul | Information | Completio n Plan |
|----|-------------------------------|-----------------|--------------|-------------|---------------------|
| | | Results | ts | | |
| 1. | Conformity evaluation results | | KTS | No | November |
| | | | | | 10th |
| | lecturer and student research | | | fulfil | 2022 |
| | with a road map | | | indicator | |
| | | | | in | |
| | | | | standard | |
| 2. | Research student who | | KTS | No | October 20 |
| | support lecturer research. | | | fulfil | 2022 |
| | | | | indicator | |
| | | | | in | |
| | | | | standard | |

Tabel 4 Hasil AMI Standar Standar Penelitian Prodi Ilmu Komunikasi

The conclusions of the results of the AMI Research Standards for the Communication Science Study Program are as follows:

Based on the findings above, the number of findings OB = 1 and KTS = 1 follow-up plans to increase lecturer and student research in accordance with the study program research roadmap

| No | Description of activities | Observ ation Results | KTS resul ts | Information | Completio n Plan |
|----|--|----------------------------|--------------------|---|--------------------------|
| 1. | Evidence of the researcher's evaluation of the results research according to the road map | Results | KTS | No fulfil | November 10th 2022 |
| | 1 | | | indicator in standard | |
| 2. | RAB Research from Prodi | | KTS | No fulfil indicator in standard | Budget 2023 |

Table 5 AMI Results of Research Standards for Geography Education Study Programs

The conclusions from the results of the AMI Research Standards for the Geography Education Study Program are as follows:

Based on the findings above, the number of findings OB = 1 and KTS = 1 follow-up plan with the study program will allocate research funds of 10 million per year for lecturers, as well as control the results of the lecturer's research evaluation according to the research roadmap study program

| No | Description of activities | Observ ation | KTS resul | Information | Completio n Plan |
|----|------------------------------------|-----------------|--------------|-------------|---------------------|
| | | Results | ts | | |
| 1. | Evidence of the researcher's | | KTS | No | November |
| | evaluation of the results | | | 0.101 | 10th |
| | research according to the road map | | | fulfil | 2022 |
| | mup | | | indicator | |
| | | | | in | |
| | | | | standard | |
| 2. | RAB Research from Prodi | | KTS | No | Budget |
| | | | | fulfil | 2023 |
| | | | | indicator | |
| | | | | in | |
| | | | | standard | |
| 3. | Funds from research from | OB | | No | October 20 |
| | source of funds | | | fulfil | 2022 |
| | national level of at least 2 | | | indicator | |
| | proposals and funding sources | | | in | |
| | international 1 | | | standard | |
| | proposals | | | | |

Tabel 6 Hasil AMI Standar Standar Penelitian Prodi Penddikan Sejarah

The conclusions of the results of the AMI Research Standards for History Education Study Program are as follows:

Based on the findings above, the number of findings OB = 1 and KTS = 2. Follow-up plans with the study program will allocate research funds of 10 million per year for lecturers, as well as conduct training and motivation for lecturers to compete for research grants from both DRPM and international, and control the results of research evaluations. lecturer according to the research roadmap study program

| No | Description of activities | Results Observati on | Resul ts KTS | Information | Plan Completion |
|----|--|----------------------------|--------------------|---|--------------------|
| 1. | Funds absorbed on average above 10 Million/lecturer in a year. | | KTS | No fulfil indicator in standard | Budget 2023 |
| 2. | Have funding from research from sources of funds national level of at least 2 proposals and funding sources international 1 proposals | OB | | No fulfil indicator in standard | 20 october 2022 |

 Table 7 AMI Results of Social Studies Study Program Standards

The conclusions of the results of the AMI Research Standards for the IPS Study Program are as follows:

Based on the findings above, the number of findings OB = 1 and KTS = 1 follow-up plan with study program will make up fund study 10 million/year lecturer, as well

Tabel 6 Hasil AMI Standar Standar Penelitian Prodi Penddikan Sejarah as

mengadakan pelatihan dan motivasi untuk dosen berkompetisi mendapatkan hibah research from both DRPM and international.

| No | Description of activities | Observ ation | KTS resul | Information | Completio n Plan |
|----|------------------------------------|-----------------|--------------|-------------|---------------------|
| | | Results | ts | | |
| 1. | Funds absorbed on average above 10 | | KTS | No | Budget |
| | Million/lecturer | | | fulfil | 2023 |
| | in a year. | | | indicator | |
| | | | | in | |
| | | | | standard | |
| 2. | Have funding from research | OB | | No | October 20 |
| | from sources of funds | | | fulfil | 2022 |
| | national level of at least 2 | | | indicator | |
| | proposals and funding sources | | | in | |
| | international 1 | | | standard | |
| | proposals | | | | |

Table 8 AMI Results of Sociology Study Standards

The conclusions of the results of the AMI Research Standards in Sociology Study Program are as follows: Based on findings on amount findings OB = 1 And KTS = 1 follow- up plan with the study program will allocate research funds of 10 million/year for lecturers, as well as conduct training and motivation for lecturers to compete for research grants from both DRPM and international.

5. Control of KTS Findings Through Review Meetings Management

Minutes of Management Review Meeting (RTM)

| Date and Place | : | Tuesday, September 20 2022. at I8, 2nd floor |
|-------------------------|---|--|
| RTM agenda | : | 1. RTM Research and PKM audit results |
| RTM participants | : | 1. Leader of the Dean of the Faculty of Social |
| | | Sciences and Law |
| | | 2. Head of Science Study Program Communication |
| | | 3. Head of Science Study Program Law |
| | | 4. Head of Administration Public |
| | | |

- 5. Head of study program IPS
- 6. Head of study program PPKn
- 7. Head of study program History
- 8. Head of Geography Education Study Program
- 9. GPM
- 10. UPM

Problem:

- a. The study program does not yet have an average amount of absorbed funds above 10 million per lecturer a year.
- b. is no student research that supports lecturer research

- c. There are no results of evaluating the suitability of lecturer and student research with maps road
- d. No roadmap yet legalized
- e. DRPM and International research is still low

Root cause of the Problem:

- a. There is no study program RAB yet, but the Faculty Research RBA and the average research fund has not reached 10 million/lecturer in a year.
- b. There is still low student research that supports research lecturer
- c. There are no results of evaluating the suitability of lecturer and student research with maps road
- d. No research road map yet legalized
- e. DRPM and research grants are still low International

Solution to problem:

- a. Suggests to provide study program-level budgets in research budgets that total more than 10 million / lecturer in a year
- b. Provide a research road map for student lecturers to provide an overview of the final project research in accordance with competence lecturer.
- c. Creating a conformity evaluation form for lecturer research and student.
- d. Approve the road map study
- e. Proposal writing training DRPM research grants and International

Problem Resolution Plan and Person in Charge

- a. In 2023 Faculty Leaders and Head of study program
- b. coprodi
- c. GPM and UPM.
- d. leadership Faculty
- e. Faculty

RTM Conclusion:

RTM research audit and community service running smoothly. Suggest to provide study program-level budgets in research budgets which number more than 10 million/lecturer in a year. Provide a student lecturer research road map to provide an overview of the final project research in accordance with the lecturer's competence. Creating a conformity evaluation form for lecturer and student research. Approve the research road map. Improving the spirit of competition from lecturer grants from DRPM and International.

6. Improvement and Follow Up (Appendix RTL)

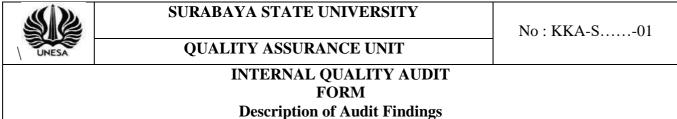
Based on the above conclusions, the increase and follow-up of institutions provide study program level budgets in research budgets, which number more than 10

Million / Lecturer in a year in 2023. Provide a road map for student lecturer research to provide an overview of final project research in accordance with the competence of lecturers and an approved road map. Controlling the results of the research by making a conformity evaluation form for lecturer and student research. Approve the research road map

Attachment:

- **1.** AMI Results Instrument Standards for Research and Service (Form 1, Form 4 and Form 5 which have been signed by the Auditor and Auditee) Faculty of Social Sciences and Law
- 2. Attendance List of RTM AMI Research Standards And devotion
- 3. Invitation to RTM AMI Research and Service Standards
- 4. Documentation Activity

1.AMI Results Instrument Standards for Research and Service (Form 1, Form 4 and Form 5 which have been signed by the Auditor and Auditee) Faculty of Social Sciences and Law



| Auditi | | | | | Cri | teria | |
|---------------------------------|--------|-------|-----------|-------|--------------------|-----------|------|
| Dr. Oksiana Jatiningsih, M.Sc. | | | | | Res | earch | |
| | | | | | Stan | dards | |
| | | | | | 9. | -16 | |
| Location | Scope | | | A | Audit Date | | |
| FISH Building | |] | 8 | Sep | September 01, 2022 | | |
| Audit Representative | Ch | ief A | Auditor | Μ | embe | r Auditor | |
| 1.Dr Oksiana Jatiningsih, M.Sc. | Dr. Ai | nam | Miftakhul | 1.Dr. | Rr. | Setyowa | ıti, |
| | Huda | | M.Sc | | | | |
| Distribution | Auditi | | Auditors | SPM | | files | |

| Description | of Findings | 1. | 1. There are no documents for Evaluation of appropriate research | | | | | te research |
|-------------------|-----------------------|--------|--|----------|----------------|------------------|-----------|-----------------|
| | | | programs yet Program research road map studies. | | | | | |
| | | 2. | There is no data of | locun | nent on | the number of | final p | roject students |
| | | | according to rese | arch l | ecturer | | | |
| Criteria | | | | | | | | |
| Root Cause | s | Assig | nment of mentoring | g and | identif | ication of stude | ent final | assignments |
| | | | he lecturer research | <u> </u> | <u> </u> | rding to the res | search r | oad map. |
| Consequen | | | mented data in SIM | IONT | 'ASI | | | |
| Recommen | dation | Puttin | g data in order | | | | | |
| Auditee Re | • | | | | | | | |
| Maintenan | ce plan | | | | | | | |
| Repair Sch | edule | | Person | | | | | |
| | | | responsible | | | | | |
| Prevention | | | | | | | | |
| Prevention | Schedule | | | | guara Answe | | | |
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| Audit | Dr. Oksiana | | Signature | Chi | ef | Dr. Anam | | Signature |
| Leader | Jatiningsih, M.Sc. | | NOMO | Auc | litor | Miftakhul H | Iuda | |
| | Reviewed by : | | | | | | | |

| Audit Quality Assurance | Dr. Djoko Suwito, M.Pd. | Signature Signed |
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No : KKA-S.....-01

QUALITY AUDIT FORM INTERNAL Summary of Audit Conditions

| Auditi | | | | | | Cr | iteria | |
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| Dr. Oks | iana Jatiningsih, M.Sc. | | | | Research | | | |
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| | Audit Representative | Ch | ief Auditor | | Me | mbe | er Auditor | |
| 1.Dr | _Oksiana Jatiningsih, | Dr. Anan | n Miftakhul Hu | da | 1.Dr. Rr. | Set | tyowati, M. | Si |
| | M.Sc. | | | | 2. | | | |
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| Conditio | | Des | cription | | | | y (O) | B / |
| n | | | | | | | KTS | 5) |
| 1 | There is no evidence of e | evaluation of | research results a | accoi | rding to the | road | KTS | |
| | map | | | | | | | |
| 2 | There is no data docume | nt on the nur | nber of students' f | final | project | | KTS | |
| | research according to the | e lecturer's re | esearch road map | | | | | |
| 3 | There are no research RA | AB documen | ts from the study | prog | ram but the | | KTS | |
| | research RAB is centralized from the Faculty | | | | | | | |
| | | | ······································ | | | | | |
| etc | | | | | | | | |
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| Place of Consent | | | | | | | |
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| Lead n Auditi Dr. Oksiana Jatiningsih, M.Sc. Chief Auditor Dr. Anam Miftakhul Huda | | | | | | | |
| | | Review | ved by: | | | | |
| Audit Quality Assurance Dr. Djoko Suwito, M.Pd. | | | | | | | |

|) . | | SURABAYA STATE UNIVERSITY | | No. 9 – 16 – PKM- | | |
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| | | INTERNAL QUALITY AUDIT FOR | | | | |
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| | 1 | Auditi | Audi | t Stage | | |
| | Dr. Oksiana | Jatiningsih, M.Sc. | | | | |
| Location | | Scope | Audi | Audit Date | | |
| FISH Build | FISH Building I8 | | | September 1, 2022 | | |
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Chief Auditor

Dr. Anam Miftakhul Huda

Auditors

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2.

Audit Representative

Distribution

1.Dr. _ Oksiana Jatiningsih, M.Sc.

Member Auditor

files

1.Dr. Rr. Setyowati, M.Si

2.

LPPM

2. RESULTS OF SERVICE TO PUBLIC

PKM AUDIT INSTRUMENTS

| STANDARDS/ITE MS | STATEMENT | | RESULTS CUMENT | OBSERVATION NOTES |
|--|---|-----|-------------------|-----------------------------------|
| QUALITY/REF ERENCE | (INDICATOR) | NO | NO | SPECIAL |
| 17.4 Study Program Carrying out Analysis of the Results of Pkm Activities | Study Program analyzes the results of PkM activities with achievement of study program standards and PkM roadmap for lecturers and student | V | | Road Map And Research SK |
| | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | V | | RPS |
| | There is student involvement in activity PkM | | VPropos | sal Dan SK |
| 18.1 LPPM and Study Program have roadmaps, standards and guidelines for the implementation of PkM | There are roadmap policy documents, standards and guidelines for the implementation of PkM in LPPM and study programs that can be used to guarantee the quality of PkM content | VSK | | and road Research Map |

Form 1

| 22.4 Podi is required to provide support for | Documents on the use of facilities and infrastructure for PkM activities in study programs | V | Letters Partners |
|--|--|-----|-----------------------------------|
| facilities and infrastructure if LPPM has given permission | | | IA with Partners |
| | There is a record of the study program's involvement in the implementation of PkM activities in accordance with | VIA | _ look Partners |
| | the road map for PkM activities in the study program | | PKM TEAM regulatory minutes |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Surabaya, 01 September 2022

Sign Name

Hand

NameSign

Hand

Auditors: 1 Dr. Anam Miftakhul huda, MIKom

Auditi: 1. Dr. Oksiana Jatiningsih, M.Sc.

M/S

3. Dr. Rr. Nanik Setyowati, M.Sc



No : KKA-S.....-01

QUALITY AUDIT FORM INTERNAL Summary of Audit Conditions

| Auditi | | | | Auditi Criteria | | | | | | |
|---------------------------|---------------------------|-------------|--------|-----------------|-------|-----------|--------|-------|-------------|------------|
| Dr. Oks | iana Jatiningsih, M.Sc. | | | | | Commu | nity l | Serv | vice | |
| | | | | | | Star | ndaro | ds 17 | 7-24 | |
| | Location | Sco | pe | | | Au | ıdit | Date | e | |
| FISH Building | | | Ι | 8 | | Septe | embe | er 01 | , 2022 | |
| | Audit Representative | Ch | nief A | Auditor | | Me | mbe | r Aı | uditor | |
| 1.Dr Oksiana Jatiningsih, | | Dr. Anan | n M | iftakhul Hu | da | 1.Dr. Rr. | Set | yov | vati, M.S | Sc |
| M.Sc. | - | | | | | | | • | | |
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| Conditio | | Des | crip | tion | | | | | y (O | B / |
| n | | | | | | | | | KTS |) |
| 1 | There are no PKM evalu | ation docum | ents | in accordance | e wit | h the PKM | | | KTS | |
| | according to the study pr | ogram road | map | | | | | | | |
| | | | | | | | | | | |
| 2 | | | | | | | | | | |
| | | | | | | | | | | |
| etc | | | | | | | | | | |
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| Place of Consent | | | | | | | | |
|------------------|--------------------------------------|---------------------|------------------|------------------------------|-----|--|--|--|
| Lead n Auditi | Dr. Oksiana Jatiningsih, M.Sc. | NOM | Chief Auditor | Dr. Anam (Miftakhul Huda | -)m | | | |
| | Reviewed by: | | | | | | | |
| Audit Qua | lity Assurance Dr. 1 | Djoko Suwito, M.Pd. | | | | | | |

| | SURABAYA STATE UNIVERSITY | | | | | | No. 9 – 16 – | | |
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| | | M.Sc. | | | | | | | |
| Lo | cation | | Scope | | | Audit Date | | | |
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| | | | Muz | ayanah | М | M. Noer Falaq Al Amin, SIP., M.KP | | | Р |
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| Dist | ribution | Auditi | | Auditors | | SPM | | LPPM | |

1. STANDARD RESULTS STUDY

| STANDARDS/QU ALITY POINTS/REFER | STATEMENT (INDICATOR) | OBSERVATI ON RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|--|-------------------------|--------------|--|--|
| ENCE | | THER E IS | NO | | |
| 9.5. The Study Program has research relevance to the management unit every year | There is a road map that covers the research agenda of lecturers and students as well scientific development of PS by considering an interdisciplinary approach or multidisciplinary, | \checkmark | | The research roadmap is just a strategic plan | Shipped Tuesday 13th September 2022 |
| | There are lecturer and student research activities in accordance with the research agenda lecturer Which refer to research roadmap and development PS science. | V | | | |
| | There is an evaluation of the suitability of lecturer and student research with a road map, | \checkmark | | Documents exist | Shipped Tuesday 13th September 2022 |
| | There is evidence of the use of evaluation results to improve research relevance | | \checkmark | | |
| 9.6. The study program determines the percentage of student research thesis | There is a minimum percentage ratio of 10% of the number of research included in the lecturer's research agenda to the number of final project students each year | \checkmark | | Minutes of meeting | Shipped Tuesday 13th September 2022 |

Form 1

| STANDARDS/QUA LITY POINTS/REFER | STATEMENT (INDICATOR) | OBSERVATI ON RESULTS | | DOCUMENT | SPECIAL NOTE | |
|---------------------------------------|--------------------------|-------------------------|----|----------|--------------|--|
| ENCE | | THER E IS | NO | | | |
| that's on the | | | | | | |
| agenda | | | | | | |
| lecturer research on | | | | | | |
| the number of final | | | | | | |
| project students each | | | | | | |
| year | | | | | | |

2. PROCESS STANDARDS STUDY

| | STANDARDS/QUA LITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|--|---|------------------------|--|------------------------------|----------------------|
| | POINTS/REFER | | The No re is | | | |
| Ī | ENCE 11.7. Lecturer at Study Program | There is a student research assignment | | | List of final project titles | Shipped Tuesday 13th |
| | involve inner student | end on the agenda lecturer research on numbers | | | | September 2022 |
| | incoming research on the agenda | final project student 1 research per year | | | | |
| | lecturer research | | | | | |

3. FUNDING AND FINANCING STANDARDS STUDY SPM2022

Form 1

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-----------------------|--|------------------------|-------------------------|-------------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| 16.4. study program | The study program has a number of funds | \checkmark | | Research SK | |
| budgeting funds | absorbed an average of above 10 million / lecturer | | | | |
| research in each | in a year. | | | | |
| three years | The study program has funds from research from national funding sources at least 2 proposals and international funding sources 1 proposal | | $\overline{\mathbf{v}}$ | | |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Surabaya, 8 September 2022

| | Sign Name | Name Hand | Auditor Signature: 1. Muzayanah | Auditi: 1. Dr. |
|---------------------------------------|-----------|-----------|---------------------------------|----------------|
| Tjitjik Rahayu, M.Sc. 2. M. Noer I | | , M.KP | | |

| Halaman Sampul - Halaman Kosong Tab Pemisah Halaman - Halaman Tab | el Gambar 🕫 Bentuk - Gambar 🤨 Ikon - Model 3D - Ilustrar | 🚻 Bagan 🧼 🖓 Add-in Saya 👻 🕅 | ideo [] nline []: tedu | Diautan - Bookmark Referensi silang Tautan | Komentar Header & F | daman * tooter | Ω Simbol + + Simbol | - Tjtjik Rahaju | |
|--|--|--|------------------------------|---|---------------------|-------------------|---------------------------|-----------------|--|
| | STANDAR/BUTIR MUTU/ACUAN | PERNYATAAN | 157 | HASIL SERVASI | DOKUMEN | CATATAN KHUSUS | | | |
| | | (INDIKATOR) | ADA | TIDAK | | | | | |
| | 9.5. Prodi memiliki relevansi penelitian pada unit pengelola tiap tahun | Adanya peta jalan yang memayungi agenda penelitian dosen dan mahasiswa serta pengembangan kesilmuan PS dengan mempertimbangkan pendekatan interdisiplin atau multidisiplin. | V | | Roadmap penel | | | | |
| | | Adanya kegiatan penelitian dosen dan mahasiswa sesuai dengan agenda penelitian dosen yang merujuk kepada peta jalan penelitian dan pengembangan kelimuan PS. | | | | | | | |
| 02:18 | | Adanya evaluasi kesesuaian penelitian dosen dan mahasiswa dengan peta jalan, | | | | | | | |
| 02.10 | | Adanya <u>bukti penggunaan</u> hasil evaluasi | | | | 1 | | | |

Link record https://zoom.us/rec/share/xm3ssxDNTfzuhUsxD17T-TGZZoRHT2mktONkZbsAI90UF3pksXiY95vSj4-YDUgL.tCY_P1oUbDB75Vhg

Passcode: sgq.2Gdu



No : KKA-S.....-01

QUALITY AUDIT FORM INTERNAL Summary of Audit Conditions

| Auditi | | | | | | | Cr | iteri | a | |
|--|---------------------------|---------------|--------|----------------|------------------------------|----------------|------|-------|-----------------------|------------|
| Dr. Tji | tjik Rahayu, M.Sc. | | | | | Res | earc | h | | |
| Ū | • | | | | | Standards | | | | |
| | | | | | | 9-16 | | | | |
| | Location | Sco | pe | | | Au | ıdit | Date | e | |
| | Zoom | | | | | Sep | tem | ber 8 | , 2022 | |
| https://zoom.us/j/95619023972?pwd _dmENaDO3Wm457nEK\$05DOk1 | | | | | | | | | | |
| =dmFNeDQ3Wm45ZnFKS05DQk1 | | | | | | | | | | |
| DN1ltZz09 Audit Representative Chief Auditor | | | | | M | 1 | | | | |
| 1 | | | | | | Member Auditor | | | | |
| | | Muzayanah | | | M. Noer Falaq Al Amin, SIP., | | | | | |
| | | | | | | M.KP | | | | |
| | Distribution | Auditi | | Auditors | | SPM | | f | iles | |
| No. | | Co | nditi | on | | | | | Catego | or |
| Conditio | | Des | cript | ion | | | | | y (O) | B / |
| n | | | | | | | | | KTS |) |
| 1 | There is no evidence of t | he use of eva | aluati | ion results to | imp | rove researc | h | | KTS | |
| | relevance | | | | - | | | | | |
| | | | | | | | | | | |
| 2 | | | | | | | | | | |
| | | | | | | | | | | |
| etc | | | | | | | | | | |
| | | | | | | | | | | |

| | Place of Consent | | | | | | | | |
|------------------|---------------------------------|--------|-------------------|------------------|-----------|--------|--|--|--|
| Lead n Auditi | Dr. Tjitjik Rahayu, M.Sc. | | -the | Chief Auditor | Muzayanah | Crows. | | | |
| | | | Review | ved by: | | | | | |
| Audit Qua | lity Assurance | Dr. Dj | oko Suwito, M.Pd. | | | | | | |

FORM 6



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

QUALITY AUDIT FORM INTERNAL **Description of Audit Findings**

| Auditi | | | | | | Criteria | |
|---------------------------------------|---------------|----|----------|--|----------------|----------------|--|
| Dr. Tjitjik Rahayu, M.Sc. | | | | | Research | | |
| | | | | | | Standards | |
| | | | | | | 9-16 | |
| Location | Sco | ре | | | Au | dit Date | |
| Zoom | | | | | | | |
| https://zoom.us/j/95619023972?pwd=dmF | | | | | | | |
| NeDQ3Wm45ZnFKS05DQk1DN1ltZz09 | | | | | | | |
| Audit | Chief Auditor | | | | Member Auditor | | |
| Representati | | | | | | | |
| ve | | | | | | | |
| | Muzayanah | | | | M. Noer | Falaq Al Amin, | |
| | | | | | SIP., M.KP | | |
| Distribution | Auditi | | Auditors | | SPM | files | |

| Description | n of Findings | There | is no evidence of t | he us | se of eva | aluation results | to imp | rove relevance | |
|-------------------|---------------|-------------------------------|----------------------|-------|-------------------------|------------------|----------|---------------------|--|
| | | study | | | | | | | |
| Criteria | | | | | | | | | |
| Root Cause | es | There | has been no specia | l dis | cussion | at study progra | am mee | tings regarding | |
| | | | alysis of research e | | | | | | |
| Consequen | ice | Not ye | et evaluated, so the | perc | entage | of student rese | arch inv | olvement in | |
| | | lectur | er research has not | been | seen. F | Finally, the ach | ieveme | nt of research | |
| | | | was slightly delaye | | | | | | |
| Recommen | ndation | | diately conduct an | | | | | | |
| Auditee Re | esponse | Imme | diately conduct an | evalı | ation n | neeting | | | |
| Maintenan | ce plan | End of odd semester 2022/2023 | | | | | | | |
| Repair Sch | nedule | End of odd semester | | | Person responsible Head | | | ad of study program | |
| _ | | 2022/2023 | | | | | | | |
| Prevention | Plan | | | | | | | | |
| Prevention | Schedule | | | | Person | n responsible | | | |
| | | <u> </u> | Place Ag | reen | nent | | <u> </u> | | |
| Audit | Dr. Tjitjik | | Signature | Chi | ef | Muzayanah | | Signature | |
| Leader | Rahayu, | | | Au | ditor | | | () huller | |
| | M.Sc. | | - the | | | | | NUNO | |
| | | | Review | ved b | v : | | | | |
| Audit Qual | ity Assurance | - | | | Signature | | | | |
| | | | | | | Signed | | | |

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT QUALITY ASSURANCE UNIT UNESA DUNESA Surabaya INTERNAL QUALITY AUDIT FOR SERVICE PUBLIC Auditi | | | | | | |
|----------------------|--|--------------|---------------|-------------------|--------------------|--|--|
| | | | Audit Stage | | | | |
| | Ũ | tjik Rahayu, | | | | | |
| | | M.Sc. | | | | | |
| Loc | cation | | Scope | | Audit Date | | |
| https://zoom.us/j/95 | Zoom https://zoom.us/j/95619023972?pwd=dmF NeDQ3Wm45ZnFKS05DQk1DN1ltZz09 | | S | September 8, 2022 | | | |
| | presentative | | Chief Auditor | Ν | Aember Auditor | | |
| - | | Muzayanah | | M. Noer Falaq A | l Amin, SIP., M.KP | | |
| | ibution OF SERVICE TO PI | Auditi | Auditors | LPPM | files | | |

1. RESULTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | | QUESTION (INDICATOR) | RESU OBSEI O | RVATI | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|-------|--|--------------------|-------|--------------------|----------------------------------|
| ENCE | KLFLK | | THER E IS | NO | | |
| 17.4 Study Pr carries out an | - | The Study Program analyzes the results of PkM activities with the achievement of study program standards and the PkM roadmap for lecturers and students | \checkmark | | Minutes of meeting | Sent tuesday 8 September 2022 |

Form 1

| of the results of PkM | There is utilization of the results of the | \checkmark | | Less than 3 |
|-----------------------|--|--------------|--|----------------|
| activities | permanent Lecturer PkM | | | Sent tuesday 8 |
| | used in learning at least three PkM | | | September 2022 |
| | results in | | | |

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|--|----------------------------|----|-----------------|----------------------------------|
| ENCE | | THER E IS | NO | | |
| | every year. | | | | |
| | There is student involvement in PkM activities | \checkmark | | Activity photos | Sent tuesday 8 September 2022 |

2 CONTENTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|--------------------------------------|----------------------------|----|--------------|-----------------|
| ENCE | | THER E IS | NO | | |
| 18.1 LPPM and Study | There are roadmap policy documents, | | | Pkm road map | Sent tuesday 8 |
| Program have | standards and guidelines for the | | | | September 2022 |
| roadmaps, standards | implementation of PkM in LPPM and | | | | |
| and guidelines for the | study programs that can be used to | | | | |
| implementation of | guarantee the quality of PkM content | | | | |
| PkM | | | | | |

3 FACILITIES AND INFRASTRUCTURE OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | Y | | ULTS RVATI N | DOCUMENT | SPECIAL NOTE |
|---|--|--------------|--------------------|--------------------------------|----------------------------------|
| ENCE | | THER E IS | NO | | |
| 22.4 Podi is required to provide support for | Documents on the use of facilities and infrastructure for PkM activities in study programs | \checkmark | | PkM facility loan documents | Sent tuesday 8 September 2022 |

Form 1

| facilities and | There is a record of the study program's | Permit for the | Sent tuesday 8 |
|-----------------------|--|--------------------|----------------|
| infrastructure if the | involvement in the implementation of | implementation of | September 2022 |
| LPPM has given a | PkM activities in accordance with the | PkM, minutes of | |
| permit | road map for PkM activities in the study | meetings | |
| | program | | |

Note: yes / $no^* = if$ 'yes' then need to add supporting evidence

Yes / no* = if 'yes' then need to add supporting evidence $L^* = More$ (exceeding the Unesa Quality Standard)

Surabaya, 8 September 2022



Link record https://zoom.us/rec/share/xm3ssxDNTfzuhUsxDl7T-TGZZoRHT2mktONkZbsAI90UF3pksXiY95vSj4-YDUgL.tCY_P1oUbDB75Vhg

Passcode: sgq.2Gdu

| | | - A- 10 - 10 - 10 10 1414 A- 10 10 10 10 10 1414 | Rend | Times oute Speed | 1 C Sendar | T NAME | in the second se | THE | ALL |
|-------------------|---|---|---------------------------|--|-------------------|--------|--|------------------|--------|
| Matter Rear Do 16 | - | N Personal | | 140 | (b) Pergesten | | New 1 | Tatak Rohapit ya | 2-11Fa |
| | standar dan perkanan pelakuanan PBM | LPPM das prodi yang mangar diganakan dalam menjaman meru ne PEM | | | | | | | |
| | A RABANA DAN | PRABARANA PENGABDIAN KEPADA | MASYARAKA | T. | | | | | |
| | STANDAR SCTIR MUTUACUAN | PERTANYAAN (INDRATOR) | MAAR OBSERVA ADA TI | | CATATAN KHUNUN | | | | |
| | 23.4 Podi wapé nerefettikan dakangen Bolinas serves dan prastrans yaka LPPM tidah serebitikan pin | Adarcs dicknesse pergenesas taras dan percentas kempia PAM de mode Adarcs catatas ketrolibaras prodi dalam pelokonosan kegutas PAM areson dengas road usar kegutas PAM di prodi | * | Dokosen pagaman raman PkM Sorat gan pelakonnom PkM, notukor ongat | | | | | |
| | | = jike ')e' ooska perla meniorbakkan bokis j k* = jika 'ada' maka perla meniorbakkan bo | | 2d) | | | | | |
| | | | | | | | | | |

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya RESEARCH INTERNAL QUALITY AUDIT | | | | | | | No. 9 – STUDY Rev02 | | |
|----------------------------------|--|-------------------------|--|----------------|---------------------------------|-----|--------------|---------------------------|--|--|
| Auditi | | | | | Audit Stage | | | | | |
| | Arinto Nugroho, S.Pd., SH, MH | | | | | | | | | |
| Loca | Scope | | | Audit Date | | | | | | |
| Law Scien | Law Science Building | | | F2.02.01 | | | 01 Sept 2022 | | | |
| Audit | Chief Auditor | | | Member Auditor | | | | | | |
| Arinto Nugroho, S.Pd., SH, MH | | Nurul Hikmah, Lc., MHI. | | | Dr. Anam Miftakhul Huda, MI Kom | | | | | |
| | Distributi | | | | | | | LPPM | | |
| | n | Auditi | | Auditors | | SPM | | LPPM | | |

| STANDAR D/ | STATEMENT | OI ATIO | BSERV | DOCUMENT | SPECIAL NOTE | |
|---|--|-------------------------|-------|--|--|--|
| QUALITY | (INDICATOR) | RESU | | | NOIE | |
| POINTS/REFE RENCE | | TH E R E IS | NO | | | |
| 9.5. The Study Program has research relevance to the management unit every year | There is a roadmap that covers the research agenda of lecturers and students as well as scientific development of PS taking into account interdisciplinary or multidisciplinary approaches , | V | | Research road map | | |
| | There are research activities lecturers and students in accordance with the lecturer's research agenda which refers to the scientific research and development roadmap of PS. | V | | Lecturer Research Data | | |
| | There is an evaluation of the suitability of lecturer and student research with a road map, | V | | Lecturer Research Data | | |
| | There is evidence of the use of the results evaluation to improve research relevance | V | | | Evaluation result not yet documented | |
| 9.6. The Study Program determines the percentage of the number of final project student research included in the lecturer's research agenda to the number of final assignment students each year | There is a percentage ratio minimum 10% of the number of studies that are included in the lecturer's research agenda on the number of final project students each year | V | | Student thesis data relevant to the lecturer's research | | |

| 11.7. Lecturers in | There is a final project student | V | Research | |
|---------------------|------------------------------------|---|----------|--|
| the Study Program | research that is included in the | | proposal | |
| involve students in | lecturer's research agenda on the | | | |
| research that is | number of final project students 1 | | | |
| included in the | research per year | | | |
| lecturer's research | | | | |
| agenda | | | | |

RESEARCH AUDIT INSTRUMENTS

| 16.4. study program | The study program has | V | wed of |
|-------------------------|---|---|--|
| budgeting | average amount of funds absorbed | | Faculty |
| n funds | an average of over 10 million / lecturer | | |
| study | in a year. | | |
| in every three years | The study program has funding from research from national source of funds at least 2 proposals and funding sources international 1 proposal | V | Submission Grant Proposal Dikti not pass verification |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Surabaya, 12 Sept 2022

Auditors :

Nurul Hikmah, Lc., M.HI

Dr. Anam Miftakhul Huda, MHKom

Auditi : Arinto Nugroho, S.Pd., SH, MH



INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi | | | | | | | Cri | iteria |
|----------------------|---|---|---------------|-----------------|-------|-------------------------|------|----------------------------|
| | | | | | | Res | earc | h |
| | | | | | | | S | tandards |
| | | | | | | | -16 | |
| | Location | Sco | pe | | | Aı | udit | Date |
| La | Law Science Building F2.01.I2 | | | | | 0 | 1 Se | pt 2022 |
| | Audit Representative Chief Auditor | | | | | Me | mbe | r Auditor |
| Arinto | Nugroho, S.Pd., SH, | Nurul Hikr | nah, | Lc., MHI | | Dr. Anam Miftakhul Huda | | |
| | MH | | MI Comm | | | | | |
| | Distribution | Auditi | | Auditors | | SPM | | files |
| No. Conditi on | | | nditi crip | - | | | | Categor y (OB / KTS) |
| 1 | There is no evidence tha according to the road ma | | eval | luate the resul | ts of | f the researc | h | KTS |
| 2 | Ŭ | The Study Program RAB is handled by the Faculty | | | | | | |
| | | | | | | | | |

| | Place of Consent | | | | | | | | |
|------------------|----------------------------------|-------------------|------------------|---------------------------|-----|--|--|--|--|
| Lead n Auditi | Arinto Nugroho, S.Pd., SH, MH | | Chief Auditor | Nurul Hikmah, Lc., MHI | AL- | | | | |
| | | ved by: | | | | | | | |
| Audit Qua | lity Assurance Dr. Dj | oko Suwito, M.Pd. | | | | | | | |

UNESA

Auditi

SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT INTERNAL QUALITY AUDIT FORM

Description of Audit Findings Criteria Criteria Research Standards Standards 9-16 Location Scope Audit Date Law Science Building F2.01.02 September 01, 2022 Audit Bepresentative Chief Auditor Member Auditor

| | | • • | | | ~~r | | | |
|------------------------------|------------------------|-------|----------|----------------|-------------------------|----|-------|--|
| Audit Representative | Ch | ief / | Auditor | Member Auditor | | | | |
| Arinto Nugroho, S.Pd. SH, MH | Nurul Hikmah, Lc., MHI | | | | Dr. Anam Miftahul Huda, | | | |
| | | | | | | MI | Kom | |
| Distribution | Auditi | | Auditors | | SPM | | files | |
| | | | | | | | | |

| Description of Findings | 1. There are | no research evaluation docume | ents according to the Prodi | | | | | | |
|--------------------------------|--------------------|---------------------------------|-----------------------------|--|--|--|--|--|--|
| | Research | Roadmap | | | | | | | |
| | 2. There is n | no Research RAB at the level st | udy program | | | | | | |
| Criteria | | | | | | | | | |
| Root Causes | | | | | | | | | |
| Consequence | Undocumented d | ata | | | | | | | |
| Recommendation | Putting data in or | der | | | | | | | |
| Auditee Response | Getting used to o | rderly data and storing docume | ents in Google Drive. | | | | | | |
| Maintenance plan | Quick | | | | | | | | |
| Repair Schedule | 2022 | Person | | | | | | | |
| | | responsible | | | | | | | |
| Prevention Plan | | | | | | | | | |
| SDM 2022 | | | | | | | | | |

SPM 2022

| Prevention Schedule | | | | | | | |
|---------------------------|------------------------------|-----|------------------------|------------------|---------------------------|--|-----------|
| | | | Place of | Consent | | | |
| Audit Leader | Arinto Nugro S.Pd., SH, M | | Signature | Chief Auditor | Nurul Hikmah, Lc., MHI | | Signature |
| | | | L Review | ved by: | | | |
| Audit Quality Assurance D | | Dr. | r. Djoko Suwito, M.Pd. | | Signature Signed | | |

| | SURABAY | A STA | ATE UNIVI | ERSITY | | | No. 9 - | - 16 | | |
|-------------------------------|--|----------|--------------|--------|-------------|-------|-------------|--------|--|--|
| QUALITY ASSURANCE UNIT | | | | | | | PKM- | Rev02 | | |
| | Unesa Rectorate Building Tongue Campus | | | | | | | | | |
| UNESA | | Surabaya | | | | | | | | |
| INTERNAL QUALITY AUDIT | | | | | | | | | | |
| | FOR COMMUNITY SERVICE | | | | | | | | | |
| Auditi | | | | | Audit Stage | | | | | |
| Arinto Nugroh | o, S.Pd., SI | H, MH | | | | | | | | |
| Location | | Scope | | | Audit Date | | | | | |
| Law Science Building | | F3. | 01.02 | | | 01 | Sept 2022 | | | |
| Audit Representative | | Chief . | Auditor | | | Mem | ber Audito | r | | |
| Arinto Nugroho, S.Pd., SH, MH | Nuru | ıl Hikm | ah, Lc., M.I | HI. | Dr. Anam | Mifta | ıkhul Huda, | MI Kom | | |
| | | | | | | | | | | |
| | | | | | | | 1 | | | |
| Distributi | Auditi | | Auditors | | LPPM | | files | | | |
| on | | | | | | | | | | |

1. RESULTS OF SERVICE TO PUBLIC

PKM AUDIT INSTRUMENTS

| STANDARDS/ BU TIR QUALITY/REF | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|--|--|----------------------------|----|------------------|-----------------|
| ERENCE | | THE RE IS | NO | | |
| 17.4 Study Program | The Study Program analyzes the results of PkM activities with the achievement of study program standards and the lecturer and PkM road map student | V | | PKM Roadmap | |
| carries out an analysis of the results of PkM activities | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three results of PkM in every year. | V | | RPS, Pocket book | |
| | There is student involvement in PkM activities | V | | PMC Proposal | |

| _ | | | F | orm 5 |
|---|--|---|-------------|-------|
| 18.1 LPPM and Study Program have roadmaps, standards and guidelines for the implementation of PkM | There are roadmap policy documents, standards and guidelines for the implementation of PkM in LPPM and study programs that can be used to guarantee the quality of PkM content | V | PKM Roadmap | |

| STANDARDS/ BU TIR QUALITY/REF | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|--|---|----------------------------|----|---|---|
| ERENCE | | THE RE IS | NO | | |
| 22.4 Podi is required to | There is a document on the use of activity facilities and infrastructure PkM in study program | V | | Archives of applications tool rental | |
| provide support for facilities and infrastructure if LPPM has given permission | There is a record of the study program's involvement in the implementation of PkM activities in accordance with the road map for PkM activities in the study program | V | | - Meetin g minutes - Cooper ation with Partners | Prepare Cooperation Submission documents |

Note: yes / no* = if 'yes' then need to add supporting evidence Yes / no* = if 'yes' then need to add supporting evidence $L^* =$ More (exceed Unesa Quality Standard)

Surabaya, 12 September 2022

Auditors :

Nurul Hikmah, Lc., M.HI

Dr. Anam Miftakhul Huda, MIKom

Auditi : Arinto Nugroho, S.Pd., SH, MH



No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi | | | | | | | Cr | iteria | | |
|----------|------------------------------------|--------------|----------|--------------|-------|-----------------------------|------|------------|--------|-----|
| Arinto N | Jugroho, S.Pd., SH, MH | | | | | Community Service | | | | |
| | | | | | | Standards 17-24 | | | | |
| | Location | Sco | ope | | | Audit Date | | | | |
| La | Law Science Building F3.01.02 | | | (|)1 Se | pt 2022 | | | | |
| | Audit Representative Chief Auditor | | | | | Me | embe | er Auditor | r | |
| Arinto N | ugroho, S.Pd., SH, MH | Nurul H | likmah, | Lc., MHI. | | Dr. Anam Miftakhul Huda, MI | | | Ι | |
| | | | | | Kom | | | | | |
| | Distribution | Auditi | 1 | Auditors | | SPM | | files | files | |
| No. | | Co | ndition | | | | | C | atego | ry |
| Conditi | | Des | cription | L | | | | (01 | 3 / K' | TS) |
| on | | | | | | | | | | |
| 1 | There is no PKM evaluatio | n document a | ccording | g to the PKN | A roa | admap | | | KTS | |
| | | | | | | | | | | |
| 2 | | | | | | | | | | |
| | | | | | | | | | | |

| Place of Consent | | | | | | | | | |
|------------------|----------------------------------|--------|------------------|----------------------------|-------|--|--|--|--|
| Lead n Auditi | Arinto Nugroho, S.Pd., SH, MH | - | Chief Auditor | Nurul Hikmah, Lc., MHI. | - cop | | | | |
| | | Review | ved by: | | | | | | |
| Audit Qua | | | | | | | | | |

| SURABAYA STATE UNIVERSITY | No : KKA-S01 |
|--------------------------------------|--|
| QUALITY ASSURANCE UNIT | |
| INTERNAL QUALITY AUDIT | |
| FORM | |
| Description of Audit Findings | |
| | QUALITY ASSURANCE UNIT INTERNAL QUALITY AUDIT FORM |

| Auditi | | Criteria |
|-------------------------------|----------|-------------------|
| Arinto Nugroho, S.Pd., SH, MH | | Community Service |
| | | Standards 17-24 |
| Location | Scope | Audit Date |
| Law Science Building | F3.01.02 | 01 Sept 2022 |

| Audit Representative | Chief Auditor | | | Mer | nbe | r Auditor | | |
|-------------------------------|-------------------------|--|----------|---------------------|-----|-------------|-------|--|
| Arinto Nugroho, S.Pd., SH, MH | Nurul Hikmah, Lc., MHI. | | | Dr. Anan MI Comr | | ftakhul Hud | a | |
| Distribution | Auditi | | Auditors | | SPM | | files | |

| Description | of Findings | There | has been no evaluati | on of | the resu | lts of PkM activ | vities wit | h the | |
|-------------------------|--------------|------------------------|---|-------|----------|------------------|-----------------------|-------------------|--|
| _ | _ | achiev | achievement of study program standards and roadmaps | | | | | | |
| Criteria | | | | | | | | | |
| Root Cause | S | The ir | nplementation of P | KM | by lectu | urers is limited | to fulfi | lling the | |
| | | | tions of the Tri Dh | | | | | | |
| | | evalua | ation of the results. | | - | | | | |
| Consequen | ce | There | is no discussion ag | genda | related | l to the PKM a | chieven | nent analysis | |
| Recommen | dation | | diately evaluate the tment meeting foru | | ieveme | nts of the PKM | [results | through the | |
| Auditee Re | sponse | Imme | diately schedule a PKM results | | ing to d | iscuss the achie | evemen | ts and evaluation | |
| Maintenan | ce plan | Odd S | emester 2022/2023 | 3 | | | | | |
| Repair Sch | edule | Odd Semester 2022/2023 | | | Person | | Head of study program | | |
| | | respon | | | nsible | | | | |
| Prevention | Plan | | | | | | | | |
| Prevention | Schedule | Person | | | | | | | |
| | | responsible | | | nsible | | | | |
| | | | Place Ag | reen | nent | | | | |
| Audit | Arinto Nugr | roho, | Signature _ | Chi | ief | Nurul Hikma | h, Lc., | Signature | |
| Leader | S.Pd., SH, N | ИН | | Au | ditor | | | - cap | |
| | | | Review | ved b | y: | | | | |
| Audit Quality Assurance | | Dr. | Dr. Djoko Suwito, M.Pd. | | S | ignature | 2 | | |
| | | | | | | | | | |

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya | | | | | | No. 9 – 16 RESEAR(| 5 – CH- Rev02 |
|----------------|--|-----------------------------|----------|---------------|----------------------------------|----------------|-----------------------|------------------|
| | | RESE | ARCH | INTERNAL QUA | LITY | | | |
| | | | | AUDIT | | | | |
| | Auditi | | | | | Audit Stage | | |
| | Dr. Danang Tandy | yonomanu, S | .Sos., N | 1.Sc. | | | | |
| Loc | cation | | Scope | | | Audit Da | nte | |
| Building I5 Fa | culty of Social | | | | | September | 8, 2022 | |
| Science | s and Law Unesa | | | | | | | |
| Audit | Audit Representative | | | Chief Auditor | | Member Auditor | | |
| | | Galih W. Pradana, SAP, M.Si | | Dr. Anam M | Dr. Anam Miftakhul Huda, S.Kom., | | | |
| | | | MIKom. | | | | | |
| | | | | | | | | |
| Distr | ibution | Auditi | | Auditors | SPM | LI | PPM | |

1. STANDARD RESULTS STUDY

| STANDARDS/QU ALITY POINTS/REFER | STATEMENT (INDICATOR) | OB ATION RESU | | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|--|---------------------|--------------|------------------|-----------------------|
| ENCE | | THER E IS | NO | | |
| 9.5. study program has | There is an umbrella road map | \checkmark | | Research Roadmap | |
| research relevance | lecturer and student research agenda | | | | |
| on the management unit | as well as | | | | |
| every year | scientific development of PSwith | | | | |
| | consider approach | | | | |
| | interdisciplinary or multidisciplinary, | | | | |
| | There are lecturers and research activities | | | | |
| | students according to the agenda | | | | |
| | lecturer research that refers to maps | | | | |
| | research and development path | | | | |
| | PS science. | | | | |
| | There is a conformity evaluation study | | | | |
| | lecturers and students with road map, | | | | |
| | There is evidence of the use of evaluation | | \checkmark | | |
| | results | | | | |
| | to improve research relevance | | 1 | | |
| 9.6. Study program | There is a percentage ratio of at least 10 % | | | | No research yet |
| determine | number of studies included | | | | student who |
| percentage amount | lecturer research agenda on numbers | | | | support research |
| student research | final project students each year | | | | lecturer. Most of the |
| thesis | | | | | student only |

SPM 2022

Form 1

| | | involved in |
|--|--|-------------|

| STANDARDS/QU | STATEMENT | OBSERV | | DOCUMENT | SPECIAL NOTE | | |
|-----------------------|-------------|---------|----|----------|--------------------|--|--|
| ALITY | | ATIO | N | | | | |
| POINTS/REFER | (INDICATOR) | RESULTS | | RESULTS | | | |
| ENCE | | THER | NO | | | | |
| | | E IS | | | | | |
| that goes inside | | | | | research as | | |
| agenda | | | | | taker or processor | | |
| lecturer research | | | | | data. | | |
| against amount | | | | | | | |
| final project student | | | | | | | |
| every year | | | | | | | |
| | | | | | | | |

2. PROCESS STANDARDS STUDY

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|------------------------------------|--|------------------------|----|-------------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| 11.7. Lecturer at Study Program | There is a student research assignment | \checkmark | | Research SK | |
| involve | end on the agenda | | | | |
| inner student | lecturer research on numbers | | | | |
| incoming research | final project student 1 research per | | | | |
| on the agenda | year | | | | |
| lecturer research | | | | | |

3. FUNDING AND FINANCING STANDARDS STUDY

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | | | DOCUMENT | SPECIAL NOTE |
|-----------------------|--|------------------------|--------------|--|------------------------|----------|--------------|
| POINTS/REFER | | The re is | No | | | | |
| ENCE | | 1015 | | | | | |
| 16.4. study program | The study program has a number of | | \checkmark | | Research funding | | |
| | funds | | | | | | |
| budgeting funds | absorbed an average of above 10 million | | | | managed by the Faculty | | |
| | / lecturer | | | | | | |
| research in each | in a year. | | | | | | |
| three years | The study program has funds from | | λ | | Majority of Lecturers | | |
| | research from national funding sources | | · · | | get funds | | |
| | _ | | | | research with | | |
| | at least 2 proposals and | | | | | | |
| | international funding sources 1 proposal | | | | Policy funding sources | | |
| | | | | | University or | | |
| | | | | | Faculty | | |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Surabaya, 8 September 2022

| | Sign Name | Auditor's Name Signature | : 1. Galih W. |
|----------|-----------------------|--|---------------|
| Pradana | | Audit: 1. Dr. Danang Tandyonomanu, S.Sos., M.Sc. | 140p-2 |
| | 2. Ana Miftakhul Huda | | |
| SPM 2022 | 2 | - /m/o | |

DOCUMENTATION



Form 1



No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi | | | | | | Cr | iteria | |
|----------|---|---------------|---------------------|------|-------------|------|------------|----|
| Dr. Dar | ang Tandyonomanu, S.So | os., M.Sc. | | | Res | earc | h | |
| | | | | | Standards | | | |
| | | - | | | 9-16 | | | |
| | Location | Sco | pe | | A | udit | Date | |
| Building | g I5 Faculty of Social | | | | | | | |
| Sci | iences and Law of | | | | | | | |
| UN | VESA | | | | | | | |
| | Audit Representative | Ch | ief Auditor | | Me | mbe | er Auditor | |
| | | Gal | Anam Miftakhul Huda | | | | | |
| | Distribution | Auditi | Auditors | | SPM files | | files | |
| No. | | Co | ndition | | | | Catego | or |
| Conditi | | Desc | cription | | | | y (OI | 3/ |
| on | | | | | | | KTS) |) |
| 1 | There are no results of ev | valuating the | suitability of lect | urer | and student | | KTS | |
| | research with the road m | ap | - | | | | | |
| | | 1 | | | | | | |
| 2 | 2 There is no student research that supports lecturer research. | | | | | | | |
| | | | | | | | | |
| etc | | | | | | | | |
| | | | | | | | | |

| | Place of Consent | | | | | | | | | | |
|-----------------|---------------------------|--------|--------|------------|------------------|------------------|--|--|--|--|--|
| Audit Leader | Dr. Danang Tandyonoman | ıu | (| 1 | Chief Auditor | Galih W. Pradana | | | | | |
| | • | | | Review | wed by: | | | | | | |
| Audit Qua | lity Assurance | Dr. Dj | oko Su | wito, M.Pc | l. | | | | | | |



SURABAYA STATE UNIVERSITY

QUALITY ASSURANCE UNIT

No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM

Description of Audit Findings

| Auditi | | | | | | | | |
|--------------------------------------|--------|--------------|---------|---------------|--|--|--|--|
| Dr. Danang Tandyonomanu, S.Sos., M.S | c. | | Rese | earch | | | | |
| | | | | | | | | |
| | | 9-16 | | | | | | |
| Location | Au | dit Date | | | | | | |
| Building I5 Faculty of Social | | | Sept | ember 8, 2022 | | | | |
| Sciences and Law of | | | | | | | | |
| UNESA | | | | | | | | |
| Audit Representative | Ch | ief Auditor | Men | nber Auditor | | | | |
| | Gali | h W. Pradana | Anam Mi | ftakhul Huda | | | | |
| Distribution | Auditi | Auditors | SPM | files | | | | |

| Description | of Findings | There | is no ev | idence of t | heus | se of ev | aluation results | to imn | rove research |
|--|---|---|----------|----------------|-----------------------|---------------------------|------------------|-----------------------|-------------------|
| Description | | | | | | | | - | f student studies |
| | | | | e lecturer's | - | 0 | | | i student studies |
| Criteria | | merud | | | 10500 | aren ugo | lidu | | |
| Root Cause | research results and there has been no encouragement from the study program so that students carry out research that is included in the lectur research agenda. | | | | | | the study | | |
| Consequence The research conducted by the lecturers was not in line with and the targets of the study programs were not achieved. | | | | | | h the roadmap | | | |
| Recommen | dation | | | conduct an | | | | | |
| Auditee Re | sponse | Immediately conduct an evaluation meeting | | | | | | | |
| Maintenan | ce plan | End of Odd Semester 2022/2023 | | | | | | | |
| Repair Sch | edule | End of Odd Semester 2022/2023 | | | | Person responsible | | Head of study program | |
| Prevention | Plan | 2022/2023 | | | | respon | | | |
| Prevention | Schedule | | 248 | a l | Person responsible | | - | alin 4 | |
| | | | 1 | Place Ag | reen | nent | | | |
| Audit Leader | | | 8 | | Chi Au | ief Galih W. Pra ditor | | dana | Signature |
| | | | | р [.] | 11 | | | | |
| | | | | Review | ed b | y : | | | |
| Audit Quali | ty Assurance | | Name | | | Signature Signed | | | |

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya INTERNAL QUALITY AUDIT FOR | | | | | | | | 6 – PKM- |
|----------------|---|-----------------------------|----------|------------|-------------|----------------------------------|--------|------------|----------|
| | | | | | | | | | |
| | | | COMM | IUNITY SER | VICE | | | | |
| | | Auditi | | | Audit Stage | | | | |
| | Dr. Danang Tandy | onomanu, S | .Sos., M | I.Sc. | | | | | |
| Lo | cation | Scope | | | | Audit Date | | | |
| Building I5 Fa | culty of Social | | | | | September 8, 2022 | | | |
| Science | s and Law Unesa | | | | | | - | | |
| Audit Re | presentative | | Chief | Auditor | | | Membe | er Auditor | |
| 1. | | Galih W. Pradana, SAP, M.Si | | | | Dr. Anam Miftakhul Huda, S.Kom., | | | |
| 2. | 2. | | | | | | MIKom. | | |
| Distr | ibution | Auditi | | Auditors | | LPPM | | files | |

1. RESULTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESU OBSEI O | RVATI | DOCUMENT | SPECIAL NOTE |
|--|--|--------------------|--------------|----------|---|
| ENCE | | THER E IS | NO | | |
| | Study Program analyzes the results of PkM activities with the achievement of study program standards and maps the PkM road for lecturers and students | | \checkmark | | |
| 17.4 Study Program carries out an analysis of the results of PkM activities | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | | \checkmark | | The majority of research results are used for learning activities, not PKM results. |
| | There is student involvement in PKM activities | | \checkmark | | |

2. CONTENTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|--|--|----------------------------|----|---|---|
| ENCE | | THER E IS | NO | | |
| 18.1 LPPM and Study Program have roadmaps, standards and guidelines for the implementation of PkM | There are roadmap policy documents, standards and guidelines for the implementation of PkM in LPPM and study programs that can be used to guarantee the quality of PkM content | V | | PKM implementation guidelines from LPPM | Only refers to documents from LPPM. |

SPM 2022

3. FACILITIES AND INFRASTRUCTURE OF SERVICE TO PUBLIC

| STANDARDS/QU | QUESTION (INDICATOR) | RESULTS | | DOCUMENT | SPECIAL |
|--------------------------|--|-----------|--------------|----------|----------------------|
| ALITY | | OBSERVATI | | | NOTE |
| POINTS/REFER | | 0 | | | |
| ENCE | | THER | NO | | |
| | | E IS | | | |
| 22.4 Podi is required to | There are documents on the use of | | \checkmark | | |
| provide support for | facilities and infrastructure for PkM | | | | |
| facilities and | activities in study programs | | | | |
| infrastructure if the | There is a record of the study program's | | \checkmark | | Still limited to the |
| LPPM has given a | involvement in the implementation of | | | | distribution of the |
| permit | PkM activities in accordance with the | | | | number of groups. |
| | road map for PkM activities in the study | | | | |
| | program | | | | |

July "

Note: yes / no* = if 'yes' then need to add supporting evidence Yes / no* = if 'yes' then need to add supporting evidence L* = More (exceed Unesa Quality Standard)

Surabaya, 8 September 2022

Auditor: Galih W. PradanaAuditi: Dr. Danang Tandyonomanu, S.Sos., M.Sc.

DOCUMENTATION





No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi | | | | | Criter | ia | | | |
|----------|---|------------------|--------------------|---------------|-----------------|-----------------------|------------|--|--|
| Dr. Dar | nang Tandyonomanu, S.Sc | os., M.Sc. | | Commu | • | | | | |
| | | | | | Standards 17-24 | | | | |
| | Location | Scop | e | Au | Audit Date | | | | |
| Building | g I5 Faculty of Social | | | Sep | tember | 8, 2022 | | | |
| Sc | iences and Law of | | | | | | | | |
| UN | NESA | | | | | | | | |
| | Audit Representative | Me | mber A | uditor | | | | | |
| | | Anam Mif | takhul I | Huda | - | | | | |
| | Distribution Auditi Auditors SPM | | | | | | | | |
| No. | | Con | dition | | | Catego | or | | |
| Conditi | | Desci | ription | | | y (O) | B / | | |
| on | | | | | | KTS |) | | |
| 1 | There is no analysis of the | ne results of P | kM activities with | the achieveme | ent of | KTS | | | |
| | study program standards | and PkM road | dmap for lecturers | and students | | | | | |
| 2 | There is no result of the | utilization of l | PkM Lecturer | | | KTS | | | |
| 3 | 3 There is no document on the use of facilities and infrastructure for PkM activities | | | | | | | | |
| 4 | There is no study progra activities | m involvemer | t in the implemen | tation of PkM | | KTS | | | |
| etc | | | | | | | | | |

| | Place of Consent | | | | | | | | | | |
|-----------------|----------------------------|------|------------------|------------------|-----|--|--|--|--|--|--|
| Audit Leader | Dr. Danang Tandyonomanu | 11 | Chief Auditor | Galih W. Pradana | ZOR | | | | | | |
| | | Revi | ewed by: | | | | | | | | |
| Audit Qua | lity Assurance Dr. I | | | | | | | | | | |



SURABAYA STATE UNIVERSITY

QUALITY ASSURANCE UNIT

No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM **Description of Audit Findings**

Auditi Criteria Dr. Danang Tandyonomanu, S.Sos, M,Si. Community Service Standards 17-24 Location Audit Date Scope Building I5 Faculty of Social September 8, 2022 Sciences and Law UNESA **Audit Representative Chief Auditor** Member Auditor Galih W. Pradana Anam Miftakhul Huda Distribution Auditi Auditors SPM files

| Descriptio | n of Findings | There | is no analysis of | the results of | PkM activities | with th | ie | | |
|--|---------------|-------------------------------|--------------------|-----------------|-------------------|---------|-----------------------|--|--|
| | | achiev | ement of study p | program stand | lards and roadm | naps | | | |
| Criteria | | | | | | | | | |
| Root Caus | ses | There | is no agenda tha | t discusses the | e analysis of Pk | M resu | lts | | |
| Conseque | nce | The re | esults of the impl | ementation of | f PkM are still l | imited | to | | |
| _ | | dissol | ving obligations, | there are no | results of analys | sis and | | | |
| | | | tion of learning. | | - | | | | |
| Recommendation Immediately held an evaluation meeting | | | | | | | | | |
| Auditee R | esponse | Immee | diately conduct a | n evaluation | meeting | | | | |
| Maintena | ice plan | End of Odd Semester 2022/2023 | | | | | | | |
| Repair Sc | hedule | End o | f Odd Semester | Perso | Person | | Head of study program | | |
| - | | 2022/ | 2023 | respo | onsible | | | | |
| Prevention | n Plan | | | | | | | | |
| Prevention | n Schedule | | 1 | Perso | on | | | | |
| | | | huga- | respo | onsible | | 1. | | |
| | | | Place A | Agreement | | | | | |
| Audit | Dr. Danang | | Signature | Chief | Galih W. Pra | Idana | Signature | | |
| Leader | Tandyonom | anu | | Auditor | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | Revie | ewed by : | | | | | |
| Audit Qua | ity Assurance | Name | | | Signature | | | | |
| | | | | | Signed | | | | |

| | | SURABAYA STATE UNIVERSITY | | | | | | | | | |
|---------|---|---------------------------|-------|----------|-----------------|--------------|---------|----------------------|------------------|--|--|
| UNESA | QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya RESEARCH INTERNAL | | | | | | | No. 9 – 10 RESEAR | 5 – CH- Rev02 | | |
| | RESEARCH INTERNAL | | | | | | | | | | |
| | QUALITY AUDIT | | | | | | | | | | |
| | | Auditi | | | | Audit Stage | | | | | |
| | dr. Ita M | ardiani, M. I | Kes | | | | | | | | |
| Lo | cation | | Scope | | | Audit Date | | | | | |
| Geograp | hy Short (i.4) | | | | | | Septemb | er 1, 2022 | 2 | | |
| Audi | t Representative | | Chief | Auditor | | | Member | r Auditor | | | |
| 1. | 1. | | | | | 1. Sri Mastu | uti | | | | |
| 2. | | | | | 2. Agus Sutedjo | | | | | | |
| | | | | | | | | | | | |
| Distr | ribution | Auditi | | Auditors | | SPM | | LPPM | | | |

1. STANDARD RESEARCH RESULTS

| STANDARDS/QU ALITY POINTS/REFER | STATEMENT (INDICATOR) | OBSERV ATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|--|----------------------------|----|----------|--------------|
| ENCE | | THER E IS | NO | | |
| 9.1. LPPM has | There are results of research on the | | | | |
| minimum criteria | development of science and technology as | | | | |
| regarding the quality | well as improving people's welfare | | | | |
| of research results in | and national competitiveness | | | | |
| accordance with the | There are outputs produced through | | | | |
| guidelines issued by | activities that comply with scientific | | | | |
| the Ministry of | principles and methods in a systematic | | | | |
| Education and | manner | | | | |
| Culture and Higher | scientific autonomy and academic culture. | | | | |
| Education and | The existence of research results that are | | | | |
| adapted to applicable | not confidential, do not disturb and/or do | | | | |
| regulations | not harm the public or national interest | | | | |
| | must be disseminated on a | | | | |
| | national/international scale by means of | | | | |
| | seminars, publications, patents and/or | | | | |
| | other methods that can be used to convey | | | | |
| | the results study | | | | |
| | to society. | | | | |
| | | | | | |

| The results of student research fulfill the |
|---|
| predetermined graduate learning |
| outcomes and regulatory provisions in |
| College |

Form 1

| STANDARDS/QU ALITY POINTS/REFER | STATEMENT (INDICATOR) | OBSERV ATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|--|--|----------------------------|----|----------|--------------|
| ENCE | | THER E IS | NO | | |
| 9.2. LPPM has a formal Research Strategic Plan document which contains the basis for development, research roadmap, resources, strategic program objectives and performance indicators adjusted to applicable regulations | The existence of a formal research strategic plan document that contains a basis for development, a research roadmap, resources (including internal research fund allocations), strategic program objectives and performance indicators, and is oriented towards competitiveness international. | | | | |
| 9.3. LPPM has research guidelines and evidence of socialization and adjustments are made according to applicable regulations | There are research guidelines that are disseminated, easily accessible, in accordance with the research strategic plan, and understood by stakeholders. | | | | |
| 9.4. LPPM has research reporting documents by | There is an activity report document research, which fulfills the 5 aspects, made by the research manager | | | | |

| STANDARDS/QU ALITY POINTS/REFER | STATEMENT (INDICATOR) | OBSERV ATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|--|---|----------------------------|----|---------------------|--------------|
| ENCE | | THER E IS | NO | | |
| research managers to university leaders and partners/funders, fulfilling the following aspects: 1) comprehensive, 2) detailed, 3) relevant, 4) latest, And 5) delivered on time. | reported to university leaders and partners/funders. | | | | |
| 9.5. The Study Program has research relevance to the management unit every year | There is a road map that covers the research agenda of lecturers and students as well scientific development of PS taking into account an interdiscipl inary or multidisciplinary approach , | V | | Criterion 7 LEDs | |
| | There are lecturer and student research activities in accordance with the research agenda lecturer Which refer to research roadmap and development PS science. | V | | Exposure criteria 7 | |

| STANDARDS/QU ALITY | STATEMENT | OBSERV ATION | | DOCUMENT | SPECIAL NOTE |
|-----------------------|--|-----------------|-----|------------------------|--------------|
| POINTS/REFER | (INDICATOR) | RESU | LTS | | |
| ENCE | | THER E IS | NO | | |
| | There is a conformity evaluation study | | V | | |
| | lecturers and students with road map, | | | | |
| | There is evidence of the use of evaluation results | | V | | |
| | to improve research relevance | | | | |
| 9.6. The Study | There is a minimum percentage ratio of | V | | List of final | |
| Program determines | 10% of the number of research included in | | | assignments for | |
| the percentage of the | the lecturer's research agenda to the | | | students from the Head | |
| number of final | number of final project students each year | | | of Department's | |
| project student | | | | demonstration | |
| research | | | | | |
| that's on the | | | | | |
| agenda | | | | | |
| lecturer research on | | | | | |
| the number of final | | | | | |
| project students each | | | | | |
| year | | | | | |
| 9.7. Higher Education | There are 233 intellectual property rights | | | | |
| has intellectual | registered from the results of research, | | | | |
| property results | PkM and academic activities every year | | | | |
| registered | | | | | |

| 9.8. Higher Education | There are 55 research and development | | |
|-----------------------|---|--|---|
| has a research | prototypes (Reseach and | | |
| prototype and | <i>Development/RnD</i>) from the results study | | ĺ |
| development | | | |

Form 1

| STANDARDS/QU ALITY POINTS/REFER ENCE | STATEMENT (INDICATOR) | OBSERV ATION RESULTS THER NO E IS | | DOCUMENT | SPECIAL NOTE |
|--|--|---|--|----------|--------------|
| (Research and Development/RnD) | | | | | |
| 9.9. College has an industrial prototype of the results study | There are 33 industrial prototypes from research results in one year | | | | |

2. STANDARD CONTENT STUDY

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-------------------------|--------------------------------------|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| 10.1. LPPM | There is a document mentioning about | | | | |
| set coverage | coverage of basic research material | | | | |
| basic research material | oriented to research outputs | | | | |
| and customized | form of explanation or discovery for | | | | |
| with applicable rules | anticipate a symptom, phenomenon, | | | | |
| | new rules, models, or postulates. | | | | |
| | | | | | |
| | There is a document mentioning about | | | | |
| | material on basic research includes | | | | |

| | | Form 1 |
|-------------------------------------|--|--------|
| special study material for interest | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-----------------------|---|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| | and contains the principles of | | | | |
| | usefulness, up-to-date and | | | | |
| | anticipating future needs. | | | | |
| | | | | | |
| | The existence of a research output | | | | |
| | document mentions the scope of basic | | | | |
| | research material that is oriented | | | | |
| | towards research output in the form of | | | | |
| | explanations or discoveries to | | | | |
| | anticipate a new symptom, | | | | |
| | phenomenon, rule, model or postulate. | | | | |
| | The existence of a research output | | | | |
| | document mentions the material in | | | | |
| | basic research including special | | | | |
| | study material for national interests | | | | |
| | and contains the principles of | | | | |
| | usefulness, up-to-date, and | | | | |
| | anticipating future needs | | | | |
| | future. | | | | |
| 10.2. LPPM | The existence of a document mentions | | | | |
| determines the scope | the scope of applied research material | | | | |
| of research material | that is oriented towards research outputs | | | | |
| applied and | in the form of innovation as well | | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|--|--|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| according to applicable regulations | development of science and technology that is beneficial to society, the business world, and/or industry. | | | | |
| | There is a document mentioning material in applied research including special study material for the national interest and containing the principles of usefulness, up-to-date, and anticipating future needs. | | | | |
| | The existence of a research output document mentions the scope of applied research material that is oriented towards research output in the form of innovation and the development of science and technology that is beneficial to society, the business world, and/or industry. | | | | |

| The existence of a research output | | |
|-------------------------------------|--|--|
| document mentions material in | | |
| applied research including material | | |
| special studies of interest | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-----------------------|--|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| | and contains the principles of | | | | |
| | usefulness, up-to-date and | | | | |
| | anticipating future needs. | | | | |
| | | | | | |
| 10.3. LPPM prepares | Tertiary Education Institutions have a | | | | |
| and determines a | Research Strategic Plan which contains | | | | |
| Strategic Research | a basis for development, a research | | | | |
| Plan which contains | roadmap, resources (including internal | | | | |
| the basis for | research fund allocations), strategic | | | | |
| development, | program objectives and performance | | | | |
| research roadmap, | indicators, and is oriented towards | | | | |
| resources, strategic | international competitiveness. | | | | |
| program objectives | | | | | |
| and performance | | | | | |
| indicators | | | | | |

| 10.4. Higher Education has a research roadmap that is relevant between national level research and management units | The relevance of research on the management unit includes the following elements: a. Has a roadmap at the LPPM, UPPS and PS levels that covers the research agenda of lecturers and students as well as the scientific development of PS by considering | | | |
|--|---|--|--|--|
|--|---|--|--|--|

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-----------------------|--|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| | interdisciplinary or multidisciplinary approach.b. There is evidence that lecturers and students carry out research | | | | |
| | in accordance with the lecturer's research agenda which refers to the road map study. | | | | |
| | c. There is evidence of evaluating the suitability of lecturer and student research with a road map, And | | | | |
| | d. There is evidence of the use of evaluation results to improve the relevance of scientific research and development of PS. | | | | |

3. RESEARCH PROCESS STANDARDS

| STANDARDS/QU | QUESTION (INDICATOR) | OBSERVATION | | DOCUMENT | SPECIAL NOTE |
|---------------------|-----------------------------|-------------|----|----------|--------------|
| ALITY | | RESULTS | | | |
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |

| 11.1. LPPM has rules | There are rules and <i>timelines</i> for | | |
|---------------------------|--|--|--|
| and <i>a timeline</i> for | planning, implementing, and | | |
| research activities | reporting every year | | |
| which cover | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVA RESULTS | TION | DOCUMENT | SPECIAL NOTE |
|--|---|--------------------|------|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| planning, implementation, and reporting every year | | | | | |
| 11.2. LPPM has scientific rules and methods for the research process and is adapted to applicable regulations | There is research carried out based on scientific principles and methods in a systematic manner in accordance with scientific autonomy and academic culture Unesa guarantees that research is carried out for education, teaching and | | | | |
| | community service activities There are activities, training, seminars and workshops as well as transformation to other tertiary institutions to support increasing the quality and quantity of research | | | | |
| 11.3. LPPM has standards for quality, work safety, health, convenience, as well | There are quality standards, work safety, health, comfort, and security for lecturers | | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|--|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| security for lecturers and according to applicable regulations | | | | | |
| 11.4. LPPM has standards for quality, work safety, health, comfort and security for students and is adjusted accordingly rules apply. | There are quality standards, work safety, health, comfort, and security for students | | | | |
| 11.5. The LLPM has research guidelines and evidence of socialization | There are research guidelines that are disseminated, easily accessible, in accordance with the research strategic plan, and understood by stakeholders. | | | | |
| 11.6. The LLPM has valid evidence of the conduct of the | There are guidelines for assessment and review procedures, | | | | |
| research process | There is evidence of the legality of appointing a reviewer, There is evidence of the results of the evaluation of the research proposal, | | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|--|--|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| | There is evidence of the legality of the assignment researcher/researcher collaboration, There is evidence of minutes of results monitoring and evaluation There is evidence of output documentation | | | | |
| 11.7. Lecturers in theStudy Programinvolve students inresearch that isincluded in theresearch agendalecturer | study.There is a final project student research that is included in the lecturer's research agenda on the number of final project students 1 research per year | V | | | |

| 11.8. LPPM has a | There is a mechanism for measuring | | |
|-----------------------|--------------------------------------|--|--|
| mechanism for | the satisfaction of researchers and | | |
| measuring the | partners in research activities with | | |
| satisfaction of | services and research processes that | | |
| researchers and | fulfill the following aspects: | | |
| partners in research | a. Clarity of instruments used, | | |
| activities with | implementation, recording and | | |
| services and research | analysis the data. | | |
| processes | b. Availability of valid evidence | | |
| | about the results of measuring the | | |
| | satisfaction of researchers and | | |
| | partners activity | | |
| | conducted research | | |

| STANDARDS/QU ALITY POINTS/REFER ENCE | QUESTION (INDICATOR) | OBSERVATION RESULTSThe re isNo | | DOCUMENT | SPECIAL NOTE |
|---|--|--------------------------------------|--|----------|--------------|
| | consistent, and followed up on a regular and systematic basis. | | | | |

4. ASSESSMENT STANDARDS STUDY

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|--|--|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| 12.1. LPPM has | Assessment of the research | | | | |
| minimum criteria for | process and results was carried out | | | | |
| process research and | based on the SIMLITABMAS | | | | |
| research results | Kemristekdikti guidelines | | | | |
| 12.2. LPPM determines the elements of evaluation of research processes and results | The assessment process contains educative, objective, accountable and transparent elements in the evaluation process and research results | | | | |
| 12.3. LPPM applies the principle of evaluating research processes and results | There is an assessment of the research process and results in accordance with the standard results, content standards, and standard assessment processes. | | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|---|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| 12.4.LPPMhasresearchassessmentmethodsandinstruments | There is an assessment of the process and results of the assessment carried out using methods and instruments that are relevant, accountable, and can represent a measure of performance achievement. | | | | |
| 12.5. LPPM has an assessment and review procedure | There is evidence of a satisfactory assessment and review procedure document | | | | |

5. STANDARD RESEARCHERS

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|------------------------|--|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| 13.1. Higher Education | There is a suitability of the scientific | | | | |
| determines the | field being studied with the | | | | |
| minimum criteria for | researcher | | | | |
| researchers who | | | | | |
| comply with the rules | | | | | |
| and are adjusted every | | | | | |
| certain period of time | | | | | |

| 13.2. College | There is the ability of researchers to | | |
|-----------------------|--|--|--|
| determines _ standard | research methodology, research object | | |
| ability researcher | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|---|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| related to research methodology that is in accordance with the rules and adjusted every certain period of time | as well as the level of complexity and depth of research There is a researcher's curriculum vitae | | | | |
| 13.3. Higher Education determines the standard of research ability according to the rules and adjusted every certain period of time | There is a researcher's curriculum vitae which can contain academic qualifications and research results that have been carried out | | | | |
| 13.4. Higher Education determines the standard of authority for researchers according to the rules of the Director General of Strengthening Research and Development and is adjusted every certain period of time | There is a curriculum vitae that contains the authority of researchers in conducting research | | | | |

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| 13.5. College | Use of guidelines from the Director | | |
|-------------------|---------------------------------------|--|--|
| define guidelines | General of Strengthening Research and | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|--|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| the authority of researchers is in accordance with the rules of the Director General of Strengthening Research and Development and adjusted every certain period of time | Development related to the authority to conduct research | | | | |
| 13.6. Universities have functional research groups and research laboratories. | There is a research group in the Study Program and a functional research laboratory. | | | | |

6. FACILITIES AND INFRASTRUCTURE STANDARDS STUDY

| STANDARDS/QU | QUESTION (INDICATOR) | OBSERVATION | | DOCUMENT | SPECIAL NOTE |
|---------------------|-----------------------------|-------------|----|----------|--------------|
| ALITY | | RESULTS | | | |
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |

| 14.1. Tertiary Education | There are guidelines and documents | | |
|--------------------------|--|--|--|
| Institutions set | for facilities and infrastructure by | | |
| standards for research | the institutions needed to support the | | |
| facilities and | needs of the content and research | | |
| infrastructure in | process in order to fulfill them | | |
| accordance with the | research result | | |
| Director's rules | | | |
| Reinforcement General | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | CATOR) OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|------------------------|--|-------------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| Research and | (Article 49:1) | | | | |
| Development | | | | | |
| 14.2. Higher Education | Existence of research facilities | | | | |
| determines the higher | covering the field of study program, | | | | |
| education facilities | learning process, and community | | | | |
| used as research | service activities (Article 49:2) | | | | |
| facilities and | | | | | |
| infrastructure at a | Universities are required to improve | | | | |
| certain time | the quality of research and the number | | | | |
| | of research outputs through the use of | | | | |
| | shared resources and facilities (| | | | |
| | sharing facilities) owned by | | | | |
| | universities and universities | | | | |
| | State bodies/agencies | | | | |
| 14.3. Higher Education | There are research facilities and | | | | |
| determines the quality | infrastructure that meet the elements of | | | | |
| standards of research | work safety, health, comfort, and | | | | |
| facilities and | security for researchers, the | | | | |
| infrastructure in | community, and the environment | | | | |
| accordance with the | | | | | |
| regulations of the | | | | | |
| Director General of | | | | | |
| Strengthening | | | | | |
| Research and | | | | | |

| Development | | | |
|------------------|-----------------------------------|--|--|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| 14.4. College | Availability of policies or | | |
| determine policy | institutional research guidelines | | |

| STANDARDS/QU | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-------------------------|---|------------------------|----|----------|--------------|
| ALITY POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| institutional | includes standard research facilities and | | | | |
| research based on | infrastructure that can be accessed | | | | |
| the rules of the | easily and applied effectively. | | | | |
| Director General of | | | | | |
| Strengthening | | | | | |
| Research and | | | | | |
| Development | | | | | |
| 14.5. Higher Education | There is a road map and research | | | | |
| determines policies | agenda that is relevant to support | | | | |
| regarding the | learning outcomes that include | | | | |
| relevance of research | research facilities and infrastructure | | | | |
| activities to fields of | | | | | |
| study based on the | | | | | |
| rules of the Director | | | | | |
| General of | | | | | |
| Strengthening | | | | | |
| Research and | | | | | |
| Development | | | | | |
| 14.6. LPPM evaluates | There is an analysis of the success | | | | |
| performance | of achieving standards and there are | | | | |
| achievements and | results of analysis of user responses | | | | |
| user responses | to follow up that can | | | | |
| | used as a fix | | | | |

7. RESEARCH MANAGEMENT STANDARDS

| STANDARDS/QU | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|--|------------------------|----|----------|--------------|
| ALITY POINTS/REFER ENCE | | The re is | No | | |
| 15.1. Higher Education compiles the minimum criteria for research management based on RIP LPPM Unesa which is adjusted according to periodically 15.2. Higher Education compiles research management rules based on the Unesa LPPM RIP which are adjusted periodically | There is conformity between the research roadmap of the Ministry of Research, Technology and Higher Education with the Unesa LPPM RIP which is supported by proposal submission, research implementation and control, monitoring, evaluation, and reporting The existence of research activities that are well managed institutionally | | | | |
| 15.3. Universities develop standards related to research management institutions based on regulations | There is a well-organized synergy between the research institute and the Development Center as a supporting work unit (Article 50:3) (Article 51:1) | | | | |

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| Unesa Institution | | | |
|-------------------|--|--|--|
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| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVA RESULTS | TION | DOCUMENT | SPECIAL NOTE |
|---|--|--------------------|------|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| which is adjusted periodically | | | | | |
| 15.4. Higher Education prepares Higher Education research RENSTRA in accordance with the vision and mission, Development Master Plan and PT RENSTRA revised according to the timeframe of each plan | There is a research strategic plan | | | | |
| 15.5. Higher Education has an effective research activity management system based on Unesa institutional regulations which are adjusted periodically | There are data sources that show the existence and effectiveness of the management of research activities. | | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-------------------------------|--|------------------------|----|----------|--------------|
| POINTS/REFER | | The | No | | |
| ENCE | | re is | | | |
| 15.6. Tertiary | There is a mechanism for measuring | | | | |
| Education Institutions | performance achievements with the | | | | |
| have mechanisms for | right method, and the results are | | | | |
| analyzing success | analyzed and evaluated. | | | | |
| and/or failure to | There are results of identification of | | | | |
| achieve established | the root of the problem, factors | | | | |
| standards | supporting success and factors | | | | |
| | inhibiting achievement | | | | |
| | standards, and follow-up efforts. | | | | |

8. FUNDING AND FINANCING STANDARDS STUDY

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|-------------------------------------|--|------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| 16.1. LPPM has financial management | There are guidelines for financial management that include planning, | | | | |
| guidelines and | realization and accountability in | | | | |
| policies | accordance with policies and regulations | | | | |
| | applicable | | | | |

| Form | 1 |
|------|---|
| | |

| 16.2. LPPM is | The existence of RBA or other | | |
|--------------------|----------------------------------|--|--|
| obliged to provide | funding sources that support the | | |
| funds periodically | implementation of research | | |
| | activities | | |
| | | | |

| STANDARDS/QU ALITY | QUESTION (INDICATOR) | OBSERVATION RESULTS | | DOCUMENT | SPECIAL NOTE |
|---|---|---------------------------|----|----------|--------------|
| POINTS/REFER ENCE | | The re is | No | | |
| 16.3. Universities are obliged to provide internal research funds on a regular basis | The existence of RIP that supports the budget plan | | | | |
| 16.4. Study Program budgets research funds every three years | Study programs have an average amount of funds absorbed above 10 million/lecturer in a year. The study program has funding from research from national funding sources of at least 2 proposals and 1 proposal from international funding sources | V (b. Wiwik, p.s. eco) | v | | |
| 16.5. Higher Education evaluates the results of research financial performance | Universities and Study Programs carry out an analysis of the success of absorption of research funds through standardized achievement evaluations There is customer satisfaction about | | | | |
| | There is customer satisfaction about research financial management | | | | |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding

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Surabaya, 1 September 2022

| Nan | ne Signature | Name | Signature |
|----------------------------|--------------|---------------------------|-----------|
| Auditors: 1. Sri Mastuti P | | Auditees: 1. Ita Mardiani | |
| 2. Agus Sutedjo | | 2 | |
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No: KKA-S.....-01

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| Guaranto | r Mn audit | Dr. Djoko Suwito, M.I | Pd, | | |

| SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya | | | | | | | No. 9 – 10 Rev02 | 6 – PKM- | |
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| UNESA | UNESA INTERNAL QUALITY AUDIT FOR COMMUNITY SERVICE | | | | | | | | |
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| | dr. Ita M | ardiani, M. Ke | es | | | | | | |
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| Geogr | aphy Pendk | | | | | September 1, 2022 | | | |
| Audit Re | Chief Auditor | | | Member Auditor | | | | | |
| 1. | | | | 1. Sri Mastuti | | | | | |
| 2. | | 2. Agus Sutec | ljo | | | | | | |
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1. RESULTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|---|---|----------------------------|----|----------|-----------------|
| ENCE | | THER E IS | NO | | |
| 17.1 LPPM has guidelines for the results of community service that apply science and technology. | There is a guide to the results of community service that applies knowledge knowledge and technology. There are minimum criteria for the results of community service that apply, practice, and cultivate one of the aspects: a. education, b. knowledge knowledge, c. science, d. technology, e. sport, f. literature, and art | | | | |
| 17.2 LPPM seeks the results of community service aimed at solving problems faced by the community. | There are minimum criteria for the results of community service that can solve problems faced by the community through: a) use of appropriate technology , and b) development of education, science , technology, sports, literature, and art, development of teaching materials or training modules | | | | |
| 17.3 College have a policy to produce | There are at least 5 prototype works per year that can be used by industry from the results of PkM activities | | | | |

| STANDARDS/QU ALITY POINTS/REFER ENCE | QUESTION (INDICATOR) | RESULTS OBSERVATI ON THER NO E IS | | DOCUMENT | SPECIAL NOTE |
|--|--|---|---|----------|-----------------|
| a prototype work industry | | | | | |
| 17.4 Study Program | Study Program analyzes the results of PkM activities with the achievement of study program standards and maps the PkM road for lecturers and students | | v | | |
| carries out an analysis of the results of PkM activities | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | V | | | |
| | There is student involvement in PkM activities | V | | | |

2. CONTENTS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|--|--|----------------------------|----|----------|-----------------|
| ENCE | | THER E IS | NO | | |
| 18.1 LPPM and Study Program have roadmaps, standards and guidelines for the implementation of PkM | There are roadmap policy documents, standards and guidelines for the implementation of PkM in LPPM and study programs that can be used to guarantee the quality of PkM content | V | | | |
| 18.2 LPPM strives for the contents of community service to refer to the needs of the community | There is an increase in the results of community service originating from research results by 30% every year There is an increase in the number of PkMs that are able to solve problems and apply appropriate technology by 35% each year There has been an increase in the number of PkM implemented directly by DU/DI, the community, or the government by 35% annually. | | | | |

| 18.3 LPPM has guidelines regarding the content of community service | There is an increase in the benefits felt by the community from community service activities through responses user There is an increase in the achievement of intellectual property rights 35% every year | | | |
|--|---|--|--|--|
|--|---|--|--|--|

| the depth and | There is an evaluation of the road map | | |
|----------------|---|--|--|
| breadth of the | regarding the direction of development | | |
| material | of the contents of community service at | | |
| | PT | | |

3. PROCESS OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER ENCE | QUESTION (INDICATOR) | RESU OBSEI O THER E IS | RVATI | DOCUMENT | SPECIAL NOTE |
|---|--|------------------------------------|-------|----------|-----------------|
| process consists of planning, implementing, and reporting activities | There are guidelines that guide compliance with the LPPM Unesa Abdimas Renstra through the stages of: proposal submission, implementation, and reporting in accordance with the applicable manual There is monitoring and evaluation of implementation results, and reporting in accordance with the applicable guidebook | | | | |

| 19.2 LPPM has guidelines for Forms of PkM that can be carried out | Forms of community service activities can be in the form of: service to public; application of science, technology, sports, literature, and art in accordance with the field his skills; community capacity building ; or empowerment public |
|--|---|
|--|---|

| 19.3 LPPM has guidelines. The process of implementing PkM meets the set standards | The PkM implementation process should meet quality standards, work safety, health, comfort, and security for implementers, the community, the environment, and involve students from start to finish. end Existence of PkM implementation quality standard documents that are reviewed thoroughly continuous. There is monev for the implementation of PkM based on established standards | | |
|---|--|--|--|
| 19.4 LPPM has guidance on the PkM Process by students which is directed and evaluated | The PkM process for students is carried out in a programmed and directed manner in accordance with the applicable guidebook There is a quality standard document the implementation of student PkM which is reviewed continuously There is monitoring and evaluation of the implementation of student PkM based on established standards | | |
| 19.5 PKM activities for lecturers, educational staff and students must be implemented in industry and learning at PTs and efforts to arrange patent rights | There are learning activities from the results of PkM activities for lecturers, education staff and students at least a number of lecturers in three years There is an implementation of the implementation of the results of PkM activities for lecturers, education staff and students in industry in accordance with the field There is an increase in rights and patents every year with a total of 20% of a number of PkM activities in PT | | |

4. ASSESSMENT OF SERVICE TO PUBLIC

| STANDARDS/QU | QUESTION (INDICATOR) | | U LTS | DOCUMENT | SPECIAL |
|--|--|--------------|--------------|----------|---------|
| ALITY | | OBSERVATI | | | NOTE |
| POINTS/REFER | | | N | | |
| ENCE | | THER E IS | NO | | |
| 20.1 LPPM has PkM Assessment guidelines which are carried out with educational, objective, accountable and transparent principles in accordance with applicable regulations | The PkM assessment meets the specified conditions and stages: a) report progress, b) monitoring and evaluation, c) seminar results | E 15 | | | |

| 20.2 LPPM conducts PkM Assessment referring to the minimum criteria | Assessment complies a satisfaction level public; b. changes in attitudes, knowledge, and skills in the community in accordance with program objectives; c. the use of science, technology, sports, literature and art in society in a sustainable manner; d. creating enrichment resources learning and/or learning as well as maturation of the academic community as |
|--|--|
|--|--|

| | results of science and technology development; or e. resolution of social problems and policy recommendations that can be utilized by stakeholders interest | | |
|---|--|--|--|
| 20.3 LPPM evaluates the results of PkM evaluation activities in maintaining the quality of the activity process continuous | There are evaluation activities when evaluating PkM results through valid and accurate instruments so that evaluation results can be obtained to improve the quality of PkM activities | | |

5. IMPLEMENTATION OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | | DOCUMENT | SPECIAL NOTE |
|--|---|----------------------------|----|----------|-----------------|
| ENCE | | THER E IS | NO | | |
| 21.1 LPPM has guidelines regarding competencies that are in accordance with the needs of PkM which are carried out every PkM Proposal submission period | The Implementation Team must have mastery of scientific application methodology in accordance with the field of expertise, type of activity, as well as the level of complexity and depth of activity objectives Implementation in accordance with the objectives, schedule and duration of implementation planned Implementation in the framework of utilization, utilization and development of science and/or technology. Implementation of PkM contributes to regional development, innovation and technology transfer, solutions to community problems, poverty alleviation, and community empowerment. | | | | |
| 21.2 Implementing community service | There is a servant CV that contains academic qualifications and research results that have been carried out | | | | |

| as intended is determined based on: | There is monitoring and evaluation that can monitor properly, scheduled and consistent | | |
|---|--|--|--|
| a. academic qualifications; And b. community service based on applicable regulations | The results of community service are developed according to academic qualifications, follow-up is carried out, integrates the results in learning and is published at least through regional seminars | | |
| | The implementation of PKM is facilitated by the institution well, there is support for infrastructure and infrastructure cooperation, there are awards for implementers Achievement PKM | | |

6. FACILITIES AND INFRASTRUCTURE OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER ENCE | QUESTION (INDICATOR) | RESULTS OBSERVATI ON THER NO E IS | | DOCUMENT | SPECIAL NOTE |
|---|---|---|--|----------|-----------------|
| 22.1 Tertiary Education Institutions are required to have a policy of providing PkM facilities and infrastructure to fulfill the results of | The existence of POS in improving the service of infrastructure facilities by the institutions needed to support the needs of the contents and process of community service in the framework of fulfill the results of community service | | | | |
| community service every year in accordance with the Strategic Plan | There are good and complete inventory documents to meet the needs of PkM activities | | | | |

| 22.2 Tertiary Education Institutions are required to grant permission to use facilities and infrastructure to support the implementation of PkM activities that | There is permission from the tertiary institution to use the facility; a. related to the application of the field of science from study programs managed by tertiary institutions and target areas of activity; b. learning process; and research activities | | |
|---|---|--|--|
|---|---|--|--|

| held annually. | | | | |
|---|--|---|-------------------|--|
| 22.3 Tertiary Education Institutions are required to guarantee compliance with standards on Facilities and infrastructure in accordance with applicable regulations | PkM facilities and infrastructure meet the elements of work safety, health, comfort and security for servants, the community and the environment | | | |
| 22.4 Podi is required to provide support for facilities and infrastructure if LPPM has given permission | Documents on the use of facilities and infrastructure for PkM activities in study programs There is a record of the study program's involvement in the implementation of appropriate PkM activities with the road map for PkM activities in the study program | V (WA virtual meeting b. Ita) | V (not borrow) | |
| 22.5 PT conducts regular customer satisfaction surveys | There is acustomersatisfaction survey instrument thatvalidThere arethe results of the analysis ofthe satisfaction surveycustomers about facilities andinfrastructure | | | |

7. MANAGEMENT OF SERVICE TO THE COMMUNITY

| STANDARDS/QU ALITY POINTS/REFER | QUESTION (INDICATOR) | RESULTS OBSERVATI ON | DOCUMENT | SPECIAL NOTE |
|---------------------------------------|--|----------------------------|----------|-----------------|
| ENCE | | THER NO | | |
| | | E IS | | |
| 23.1 Tertiary | a) PkM activities have conformity criteria | | | |
| Education Institutions | with. | | | |
| have minimum criteria | 1. LPPM Abdimas Strategic Plan | | | |
| regarding planning, | Unesa | | | |
| implementation, | 2. Road map with PkM | | | |
| control, monitoring | Kemenristekdikti, | | | |
| and evaluation, as | 3. Minimum criteria for PkM | | | |
| well as activity | management consisting of | | | |
| reporting. | planning, implementation, | | | |
| | control, monitoring and evaluation, | | | |
| | and reporting | | | |
| | b) There is a work order (SPK), | | | |
| | c) Existence of quality procedures, | | | |
| | monitoring, and evaluation, | | | |
| | d) There is an activity report in accordance | | | |

| 23.2 Tertiary | Management of community service | | |
|-------------------------------|-------------------------------------|--|--|
| Education Institutions | activities that are well managed in | | |
| are required to form a | accordance with the OTK. | | |
| PkM Management | | | |
| Unit whose job is to | | | |
| carry out | | | |

| Management of community service every year | |
|--|--|
| 23.3 Institute for Research and Community Service Universities are required to manage Community Service | well - organized synergy between LPPM and the Center welopment as a supporting work unit There are regulations, guidelines, and an internal quality assurance system for PkM activities; has a RENSTRAPkM which is a Higher a Education RENSTRA with provision. 1. 1. Have PkM evaluation criteria and procedures at least regarding aspects of PkM results in implementing, practicing, and cultivating science and technology to advance public welfare and educate the nation's life; a 2. Improving the quality of management of PkM institutions or functions in carrying out the PkM program in a sustainable manner; a 3. Has guidelines on the criteria for implementing PkM with reference to standard results, content standards, and process standards PkM; 4. Conduct a needs analysis that concerns amount And type PkM; And 5. Submitting institutional performance reports or function devotion to a a |

| the community in organizing the PkM | | |
|-------------------------------------|--|--|
| program at least through the higher | | |
| education database. | | |

8. FUNDING AND FINANCE OF SERVICE TO PUBLIC

| STANDARDS/QU ALITY POINTS/REFER ENCE | QUESTION (INDICATOR) | RESULTSOBSERVATIONTHER NOE IS | | DOCUMENT | SPECIAL NOTE |
|---|---|-------------------------------|--|----------|-----------------|
| 24.1 Tertiary Education Institutions are required to provide funding and community service financing based on the minimum criteria for sources and mechanisms for funding and financing community services that have been prepared according to applicable regulations | There are guidelines for funding mechanisms for community service activities There is implementation of a quality assurance mechanism for funding community service activities | | | | |

The existence of RBA or other sources of 24.2 Universities are required to provide funds that support the implementation of internal funds for community service activities community service based on applicable regulations 24.3 Higher There are other sources of funding from the Education can provide government, cooperation with other funding facilities for institutions within or abroad, or funds from community service the public can be sourced from DRPM, collaboration with other institutions within and outside abroad, or funds from the public 24.4 Higher Education There is an allocation of funds to allocates special finance: planning, funding for a. implementation, community service b. control, monitoring And activities for lecturers c. evaluation, according to the d. reporting, as well as guidelines e. dissemination results There is management management and 24.5 Tertiary capacity building for managers with **Education Institutions** assignments are required to 1) manage expenses fund regulate funding 2) arrange financing includes: mechanisms in community service a. community service management financing activities consisting of selection

| | | | |
|---|---|--|------|
| | proposals, monitoring and evaluation, | | |
| | reporting, and dissemination of the | | |
| | results of community service; And | | |
| | b. implementing capacity building | | |
| 24.6 Tertiary Education Institutions are required to supervise the funding and financing of | All funded PkM activities have progress reports and activity accountability regarding the absorption of funds for 70% and 100% activity | | |
| community service | All funded PkM activities have an audit report by the internal oversight unit | | |
| 24.7 Universities carry out user responses regarding funding services for community service financing activities at each year | There are reports of user responses regarding funding services for financing activities continuously and consistently every year | | |

Note: yes / no* = if 'yes' then need to add supporting evidence Yes / no* = if 'yes' then need to add supporting evidence L* = More (exceed Unesa Quality Standard)

Surabaya, 1 September 2022

Auditor : Sri Mastuti Agus Sutedjo Auditee : Ita Mardiani

| UNESA | SIJ RABAYA STATE UNIYERSITY | No: 01 | KVA-5 |
|-------|-----------------------------|-----------|-------|
| | INTERNAL QUALITY AUDIT FORM | | |
| | Summary of Audit Conditions | | |

| Auditi | | Criteria |
|--------------|---------------|---|
| 11- 20-15-5 | | Community Service Standards |
| Ha Mardiani | | 17-24 |
| Loltasi | Linghup Room | Audit Date |
| | | |
| Audit | Chief Auditor | Auditor Anggota |
| Representati | Srt Wastuh | Agus SUPELLO |
| ve | Auditi | SPM Arsin |
| | | i in a set of the set |

| | Distribution | |
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| No Kondisi | DaLnpai"Koad | ui la. category |
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| etc | | |

| | | Т | Sempat Persetujuan | | |
|-----------------------------|------------|---------------|--------------------|------|----|
| Pi mpina n Auniti | Au | u8 | Ketia Auditor | Mufn | HA |
| | | | Direview oleh : | | |
| Penjamin M | lutu Audit | Dr. Djoko Suw | vito. M.Pd | | |

RESEARCH AUDIT INSTRUMENTS

| | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building, Lidah Campus, Surabaya RESEARCH INTERNAL | | | | | | | 9 – 16 – EARCH- Rev02 | | | |
|--|---|--|------------|---------|-----------------------|---|-------------------------------------|-----------------------------|-----------------|--------------|--|
| | | | | | RCH INTER LITY AUD | | | A | dit Stag | | |
| | Auditi Head of History Education Study Program (Drs. | | | | | | Au | un Stag | | | |
| | Loca | ntion | o, M.Hum.) | Scope | | | | Aud | Audit Date | | |
| | I8 Audit | | Chief | Auditor | | | September 1, 2022 Member Auditor | | | | |
| | Audit Representative1. Drs. Artono, M. Hum. | | | | li Masnun | | Riyadi, S.Pd., MA | | | | |
| | Distr 0 | | Auditi | | Auditors | | SPM | | LPP | M | |
| ON STANDARDS/ITE MS QUALITY/REFE RENCE | | STATEMEN (INDICATO | | | ATIC | BSERV DN ULTS NO | DOCUM T | | SPECIAL NOTE | | |
| has research relevance to lecturers and studer | | ap that covers the research agenda of nts as well as scientific development of co account interdisciplinary or | | V | | There is a research Roadmap but not ye | Re , Ro et ha | esearch Dadmap Is not | | | |
| | | | | | | | | deep documen | | en proved | |

| | | | | specificall y but in the LED document | |
|---|---|---|---|---|--|
| 6 | There are lecturer and student research activities in accordance with agenda study lecturer Which refer to scientific research and development road map PS. | V | | Policy Research Decree | |
| | There is an evaluation of the suitability of lecturer and student research with maps road, | V | | The evaluation follows the evaluation conducted by SPM | There has been no specific evaluation conducted at the study program level |
| | There is evidence of the use of evaluation results to improve research relevance | | V | | There is no evidence of the use of evaluation results to improve research relevance |

| 9.6. The Study Program | There is a minimum percentage ratio of 10% of the number | V | | SK | 2022 there |
|-------------------------------------|---|---|---|--------------|--------------------------|
| determines the percentage | of research included in the lecturer's research agenda to the | | | Student | will be 71 |
| of the number of final | number of final project students each year | | | thesis/final | students. |
| project student research | | | | project | 15 education, |
| included in the lecturer's | | | | guidance | · · · |
| research agenda to the | | | | | 30 pure |
| number of final | | | | | history, the |
| assignment students each | | | | | rest don't yet follow |
| year | | | | | 10110W |
| | | | | | |
| | | | | | |
| | | | | | |
| 11.7. Lecturers in the Study | There is research of final project students who are included | V | | | There are 6 |
| Program involve students | in agenda study lecturer to amount final project student 1 | | | | student |
| in research that is included | research per year | | | | engagemen |
| in the lecturer's research | | | | | t studies in |
| agenda | | | | | 2022 |
| 16.4. Study Program | Study programs have an average amount of funds | | V | | Funds come |
| budgets research funds | absorbed above 10 million/lecturer in a year. | | | | from the |
| every three years | | | | | faculty |
| | | | | | |
| | Program studies have fund from study from at least 2 | | V | | There isn't any |
| | proposals for national funding sources and 1 international | | | | yet |
| | funding source proposals | | | | |
| | | | | | |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Sign Name NameSign Hand Hand Ale ATTA Auditi: Drs. Artono, M. Hum. Auditors: 1. Muh. Ali Masnun, MH 2. Riyadi, M.A

Surabaya, 1 September 2022



No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi | | | | | Criteri | a | | |
|---|---|----------------|----------------------|------------------|-----------|--------|------------|--|
| Head of | f History Education Study | Program (Dr | rs. Artono, | | Researc | | | |
| M.Hur | n.) | | | | Standards | | | |
| | | 9 | | | 9-16 | | | |
| | Location Scope | | | | dit Dat | | | |
| | I8 02 | | | - | ember 1 | | | |
| | Audit Representative | - | ef Auditor | | nber Au | | | |
| Drs. | Artono, M. Hum. | Muh | Ali Masnun | R | iyadi, M | I.A | | |
| | Distribution | Auditi | Auditors | SPM | 1 | files | | |
| No. | | Con | dition | | | Catego | or | |
| Conditi | Conditi Description | | | | | | B / | |
| on | on | | | | | | 5) | |
| 1 There is no specific document for the road map and it has not been ratified | | | | | | OB | | |
| | | | | | | | | |
| 2 | 2 There has been no specific evaluation conducted at the study program level | | | | | | | |
| 3 | There is no evidence of t | hausa of ava | luction regults to i | mprovo rosooro | h | KTS | | |
| 3 | | lie use of eva | iuation results to r | inprove research | 11 | KI.S | , | |
| | relevance | | | | | | | |
| 4 | The Study Program does | not vet have | an average amour | t of funds absor | rhed | KTS | 3 | |
| | above 10 million/lecture | • | un average uniou | n of funds ubsol | loca | 1110 | , | |
| | | i ili a year. | | | | | | |
| 5 | The study program does | s not vet hav | e funding from r | esearch from n | ational | KTS | 3 | |
| | | • | - | | | | | |
| | funding sources, at least 2 proposals and 1 proposal from international funding sources | | | | | | | |
| | 5001005 | | | | | | | |
| etc | | | | | | | | |
| | | | | | | | | |

| | Place of Consent | | | | | | | | | |
|-----------------|-------------------------|-------------------|------------------|-----------------|--|--|--|--|--|--|
| Audit Leader | Drs. Artono, M. Hum. | Alla | Chief Auditor | Muh. Ali Masnun | | | | | | |
| | • | Review | ved by: | | | | | | | |
| Audit Qualit | ty Assurance Dr. Dj | oko Suwito, M.Pd. | | | | | | | | |

FORM 5



SURABAYA STATE UNIVERSITY

QUALITY ASSURANCE UNIT

No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM **Description of Audit Findings**

| Auditi | | | | | | |
|-----------------------------------|---------------------|------------------|-------|--------------|--|--|
| Head of History Education Study P | R | lesearch | | | | |
| M.Hum.) | S | tandards 9-16 | | | | |
| Location | Sco | ре | Aud | it Date | | |
| I8 02 | | | Septe | mber 1, 2022 | | |
| Audit Representative | Chi | ief Auditor | Mem | ber Auditor | | |
| Drs. Artono, M. Hum | Muh. Ali Masnun, MH | | Riy | yadi, M.A | | |
| Distribution | Auditi | Auditors | SPM | files | | |

| Description | of Findings | There | is no evidence of t | the use | e of eva | aluation results | s to imp | rove research |
|--|----------------------|--------|--------------------------------------|---|-----------------------|------------------------|-----------------------|-------------------|
| | | releva | nce | | | | | |
| Criteria | | | | | | | | |
| Root Cause | es | - | atulations, this eva | aluatio | n has r | not been carrie | d out sp | ecifically by the |
| Consequen | nsequence | | | | | | | |
| Recommendation There needs to be a special evaluation carried out by the study prog through an internal meeting or meetings at the department level | | | | | ıdy program | | | |
| Auditee Re | sponse | Imme | diately evaluate the | rough | interna | al meetings or o | departm | ent meetings. |
| Maintenan | ce plan | End of | f odd semester | | | | | |
| Repair Sch | edule | End of | | | Person responsible | | Head of study program | |
| Prevention | Plan | Condu | Conduct annual evaluations regularly | | | | | |
| Prevention | Schedule | End of | f odd semester | and the second se | guarantor Answer | | Head of study program | |
| | - | | and the of | Conse | ent | | , " | |
| Audit Leader | Drs. Arton M. Hum | 10, | Signature | Chie Aud | | Muh. Ali Masnun, MH | | Signature |
| | 1 | | Review | ved by | : | 1 | | 1 |
| Audit Quali | ty Assurance | Drs | s. Djoko Suwito | | | Signature signed | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT

FORM

Description of Audit Findings

| Auditi | | | | | Criteria |
|---|---------------------|-------|----------|-------------|----------------|
| Head of History Education Study Program (Drs. Artono, | | | | | Research |
| M.Hum.) | | | | | Standards |
| | | 9-16 | | | |
| Location | Sco | pe | | A | udit Date |
| I8 02 | | | | Sep | tember 1, 2022 |
| Audit Representative | Ch | ief A | Auditor | Me | mber Auditor |
| Drs. Artono, M. Hum | Muh. Ali Masnun, MH | | H | Riyadi, M.A | |
| Distribution | Auditi | | Auditors | SPM | files |

| Description | n of Findings | The S | tudy Program does | not ye | et have | e an average ar | nount o | f funds absorbed | |
|-------------|---------------|-------------|-----------------------------------|---------|---------------------|-----------------|---------------------|------------------|--|
| | | above | 10 million/lecturer | in a y | vear. | | | | |
| Criteria | | | | | | | | | |
| Root Cause | es | Policy | that manages the l | budget | from | the faculty | | | |
| Consequen | ce | The S | tudy Program does | not ha | ave a r | esearch-specif | ic RBA | budget | |
| Recommen | dation | Need | to increase the bud | get at | the stu | dy program le | vel so th | nat there is an | |
| | | | budget | | | | | | |
| | | for res | search | | | | | | |
| Auditee Re | sponse | Imme | diately make a prop | oosal t | o the f | aculty leaders | nip | | |
| Maintenan | ce plan | Next y | year | | | | | | |
| Repair Sch | edule | Next y | | | guarai Answe | | Dean | Dean | |
| Prevention | Plan | Budge | Budgets the research RBA annually | | | | | | |
| Prevention | Schedule | At the year | beginning/end of e | | Person respon | | Dean | | |
| | | ycai | Place of | | | isible | | | |
| Audit | Drs. Arton | IU, | Sig | Chie | f | Muh. Ali Ma | snun, ²⁰ | Signature | |
| Leader | M. Hum | | | Audi | tor | MH | | | |
| | | | | | | | | | |
| | Reviewed by : | | | | | | | | |
| Audit Quali | ty Assurance | Drs | Drs. Djoko Suwito | | Signature signed | | | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT

FORM

Description of Audit Findings

| Auditi | | | | | | | С | riteria | | |
|------------|----------------|--|-----------------|---|------------|-----------|-------------------|-------------------|--|--|
| | History Educ | ation Study | Program (I | Drs. Arto | ono, | | | esearch | | |
| M.Hum.) | | | | | | | Standards 9-16 | | | |
| | Location | | Sco | me | | | Audit Date | | | |
| | <u>I8 02</u> | | | <u>, , , , , , , , , , , , , , , , , , , </u> | | | | nber 1, 2022 | | |
| | Audit Repr | esentative | Cł | nief Audit | or | | - | er Auditor | | |
| Dr | s. Artono, M | | | Ali Masnu | | | | adi, M.A | | |
| | Distributi | | Auditi | r | ditors | SPN | | files | | |
| | on | | iluulu | 110 | uitors | | - | | | |
| Descriptio | on of Findings | The study pr | ogram does | not yet ha | ve funding | g from re | esearch | n from national | | |
| | | funding sour | ces, at least 2 | 2 proposal | s and 1 in | ternation | nal fun | ding source | | |
| | | proposals | | | | | | C | | |
| Criteria | | <u></u> | 1 1 | | | | | | | |
| Root Caus | ses | Competitive | level of com | petition | | | | | | |
| | | Limited num | | 1 | ved Limite | ed | | | | |
| | | cooperation at the international level | | | | | | | | |
| Conseque | nce | There is no 1 | esearch fund | ling that co | omes from | n nationa | ıl or in | ternational | | |
| | | sources | | | | | | | | |
| Recomme | ndation | | to be an incr | ease in the | e compete | nce of le | ecturer | s and cooperation | | |
| | | at the level | | | | | | | | |
| Auditee R | aspansa | international Immediately | | competer | ca of lact | urare and | limpr | ove quality | | |
| Auunee K | esponse | and the quar | | | | uleis and | a mpi | ove quanty | | |
| Maintena | nce plan | Next year | tity of coope | iution | | | | | | |
| Repair Sc | - | Next year | | gua | rantor | | Dean | | | |
| r | | | | Ans | | | | | | |
| Preventio | n Plan | Budgets the | research RP | A appually | / | | | | | |
| Prevention | n Schedule | At the beam | | | | | Dean | 101 NS MORD & | | |
| | - | year 🛒 | 11- | - | onsible | | \mathcal{M} | no puras | | |
| | | | Place of | Consent | | | | | | |
| Audit | Drs. Artor | 10, Signa | ature | Chief | | Ali Masr | nun, | Signature | | |
| Leader | M. Hum | | | Auditor | MH | | | | | |
| | | | | | | | | | | |
| | | | D ' | 11 | | | | | | |
| | | | Review | ed by : | | | | | | |
| Audit Qua | lity Assurance | Drs. Djok | o Suwito | | Signat | | | | | |
| | | | | | signed | | | | | |

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| UNESA | | | | | | | No. 9 – 10 Rev02 | 6 – PKM- | |
|-----------------|-------------------------|-------------------------|---------|----------------------|---|------------|---------------------|--------------|---|
| | INTERNAL QUALITY AUDITS | | | | | | | | |
| | | | COMM | IUNITY SERVIC | E | | | | |
| Auditi | | | | Audit Stage | | | | | |
| Head | of History Education | on Study Pro I.Hum.) | gram (D | rs. Artono, | | | | | |
| Loc | cation | | Scope | | | Audit Date | | | |
| I | 8 02 | | | | | | Septer | nber 1, 2022 | 2 |
| Audit | t Representative | | Chief . | Auditor | | | Memb | ber Auditor | |
| 1. Drs. Artono, | M. Hum. | Muh. Ali Masnun | | Riyadi, S.Pd., MA | | | | | |
| | | | | | | | | | |
| Distr | ribution | Auditi | | Auditors | | LPPM | | files | |

| QUALITY QUALITY | STATEMENT | OBSERV | | DOCUMENT | SPECIAL |
|------------------------------|---|--------|------|------------|---------|
| ITEMS/REFERENCE | (INDICATOR) | ATION | | | NOTE |
| | | RES | ULTS | | |
| | | THE NO | | | |
| | | RE | | | |
| | | IS | | | |
| 17.4 Study Program | Study Program analyzes the results of PkM activities with | V | | referring | |
| Carrying out Analysis of the | achievements | | | on | |
| Results of Pkm Activities | study program standards and PkM roadmap for lecturers | | | | |
| | and students | | | | |
| | | | | guidelines | |
| | | | | LPPM | |

| | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | V | | |
|--|---|---|--|--|
| | There is student involvement in PkM activities | V | | |
| 18.1 LPPM and Study Program have a road map standards and guidelines the implementation of PKM | There are roadmap policy documents, standards and guidelines implementation of PkM in LPPM and study programs that can afford it used in guaranteeing the quality of PkM contents | V | There is a PKM Roadmap documen t | |
| 22.4 Mandatory pods give support facilities and infrastructure if LPPM has give permission | There are usage documents means And infrastruct ure PkM activities in study program | V | | |
| | There is a record of the study program's involvement in the implementation of PkM activities in accordance with the road map for PkM activities in the study program | V | | |

Name
Auditors: 1. Muh. Ali Masnun, MH
2. Riyadi, M.A

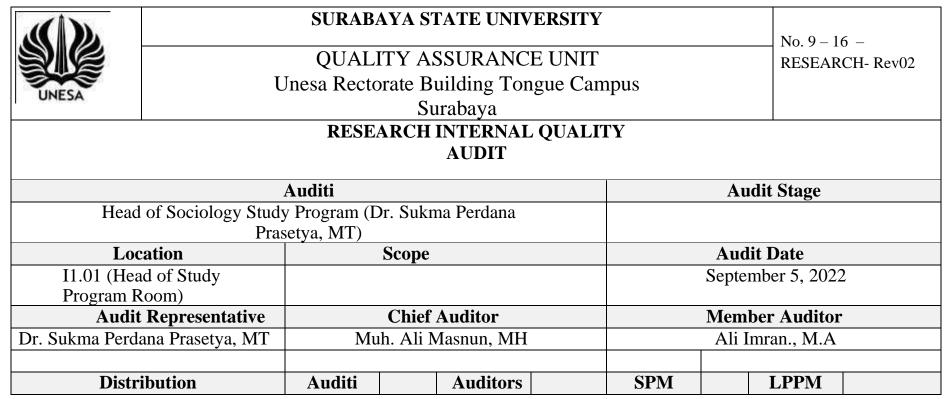


Signature Name

Auditi: Drs. Artono, M. Hum.



RESEARCH AUDIT INSTRUMENTS



| STANDARDS/QU ALITY POINTS/REFER ENCE | STATEMENT (INDICATOR) | ATIC | BSERV DN ULTS NO | DOCUMEN T | SPECIAL NOTE | |
|---|--------------------------|------|---------------------------|--------------|-----------------|--|
|---|--------------------------|------|---------------------------|--------------|-----------------|--|

| 9.5. The Study Program | There is a roadmap that covers the research agenda of | V | | It exists, but |
|-------------------------------------|--|---|----------|----------------|
| has research relevance to | lecturers and students as well as scientific development of | | | has not been |
| the management unit | PS taking into account interdisciplinary or | | | validated |
| every year | multidisciplinary approaches, | | | |
| | There are lecturer and student research activities in | V | Policy | |
| | accordance with agenda study lecturer Which refer to | | Research | |
| | scientific research and development road map PS. | | Decree | |
| | There is an evaluation of the suitability of lecturer research And | V | | |
| | student with road map, | | | |
| | There is evidence of the use of evaluation results for improvement | V | | |
| | research relevance | | | |
| 9.6. The Study Program | There is a minimum percentage ratio of 10% of the number | V | | |
| determines the percentage | of research included in the lecturer's research agenda to the | | | |
| of the number of final | number of final project students each year | | | |
| project student research | | | | |
| included in the lecturer's | | | | |
| research agenda to the | | | | |
| number of final | | | | |
| assignment students each | | | | |
| year | | | | |
| 11.7. Lecturers in the Study | There is research of final project students who are included | V | | |
| Program involve students | in agenda study lecturer to amount final project student 1 | | | |
| in research that is included | research per year | | | |
| in the lecturer's research | | | | |
| agenda | | | | |
| | | | | |

| 16.4. Study Program budgets research funds every three years | Study programs have an average amount of funds absorbed above 10 million/lecturer in a year. | V | Funds come from the faculty |
|--|---|---|-----------------------------------|
| | Program studies have fund from study from at least 2 proposals of national funding sources and 1 international funding source proposals | V | There isn't any yet |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)

Surabaya, 8 September 2022

Sign Name

Hand

NameSignature Auditor: 1. Muh. Ali Masnun,

MH

2. Ali Imran, M.A

Massiller .

Auditi: Dr. Prime Minister Prasetya, MT



No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi Criteri | | | | | | | |
|---|-------------------------|--------------|-------------------|---------------|-----------|------------|--|
| Head of | of Sociology Study Pro | Research | | | | | |
| Prasetya, MT) | | | | | Standards | | |
| | • • • | | | | 9-1 | | |
| | Location | Sco | pe | | ıdit D | | |
| I1.01 | 1 (Kaprodi Room | | | Sep | tembe | r 5, 2022 | |
| | Audit Representative | Chi | ief Auditor | Me | mber | Auditor | |
| Dr. Sukm | a Perdana Prasetya, MT | Muh. A | li Masnun, MH | A | li Imra | In, M.A | |
| | Distribution | Auditi | Auditors | SPM | | files | |
| No. Conditi on | Conditi Description | | | | | | |
| 1 | The road map has not be | an approved | by the leadership | | | KTS) OB | |
| 1 | The toad map has not be | en appioved | by the leadership | | | ОВ | |
| 2 | The Study Program does | not yet have | an average amount | of funds abso | orbed | KTS | |
| above 10 million/lecturer in a year. | | | | | | | |
| 3 The study program does not yet have funding from research from national | | | | | | | |
| funding sources, at least 2 proposals and 1 proposal from international funding | | | | | | | |
| sources | | | | | | | |
| etc | | | | | | | |

| Place of Consent | | | | | | | | |
|---|----------------------------------|--------|------------------|------------------------|--|--|--|--|
| Audit Leader | Dr. Prime Minister Pras MT | setya, | Chief Auditor | Muh. Ali Masnun, MH | | | | |
| | Reviewed by: | | | | | | | |
| Audit Quality Assurance Dr. Djoko Suwito, M.Pd. | | | | | | | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT FORM

Description of Audit Findings

| Auditi | | | | | | Cri | teria | |
|--|---------------------|--|-------------------|--|-----------|------|-----------|--|
| Head of Sociology Study Program (Dr. Sukma Perdana Prasetya, | | | | | Research | | | |
| MT) | | | | | Standards | | | |
| | | | | | | 9- | -16 | |
| Location Scope | | | Audit Date | | | | | |
| I1.01 (Head of Study | | | September 5, 2022 | | | | | |
| Program Room) | | | | | | | | |
| Audit Representative C | | | Auditor | | Men | nbei | r Auditor | |
| Dr. Sukma Perdana Prasetya, MT | Muh. Ali Masnun, MH | | Ali Imran, M.A | | an, M.A | | | |
| Distribution | Auditi | | Auditors | | SPM | | files | |

| Description of Findings The Study Program does not yet have an average amount of abso | | | | | | f absorbed funds | | |
|--|--------------|-----------------------------------|---------------------|----------------|------------------|------------------|-----------------|--|
| | | above 10 million/lecturer | | | | | | |
| | | in a ye | ear. | | | | | |
| Criteria | | | | | | | | |
| Root Cause | S | Policy | that manages the b | oudget from | the faculty | | | |
| Consequen | ce | The S | tudy Program does | not have a r | research-specif | ïc RBA | budget | |
| Recommen | dation | Need | to increase the bud | get at the stu | ıdy program le | vel so tl | hat there is an | |
| | | RBA | budget | - | | | | |
| | | for res | search | | | | | |
| Auditee Res | sponse | Imme | diately make a prop | posal to the f | faculty leaders | hip | | |
| Maintenand | ce plan | Next | Next year | | | | | |
| Repair Sch | edule | Next year | | Person | | Dean | | |
| | | - | ,ā | respon | responsible | | | |
| Prevention | Plan | Budgets the research RBA annually | | | | | | |
| Prevention | Schedule | At the beginning/end of each g | | ch guara | n guarantor | | Dean My Killer | |
| | | year | year Answer | | | | | |
| | 1.0 | - 0 | Place of | Consent | | | | |
| Audit | Dr. Sukma | | Signature | Chief | nief Muh. Ali Ma | | Signature | |
| Leader | Perdana Pra | setya, | | Auditor | MH | | | |
| MT | | | | | | | | |
| | | | | | | | | |
| Reviewed by : | | | | | | | | |
| Audit Quali | ty Assurance | Drs. Djoko Suwito | | Signature | | | | |
| | 2 | | 5 | | signed | | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT

FORM

Description of Audit Findings

| Auditi | | | | | | | | (| Criteria | | |
|-----------------|------------------|--|---------------------------------|-------|-----------|-----------|-------------------|-------------|-----------------|------|--|
| Head of Soci | lology St | udy Program | (Dr. Sukm | a Pe | rdana Pr | asetya, | | | Research | | |
| MT) | | | | | | | | S | tandards | | |
| · | T | | C. | | | | | A 1 | 9-16 | | |
| | Location | | Scope | | | | Audit Date | | | | |
| | I1.01 (Head of S | | | | | | September 5, 2022 | | | | |
| Y | n Room) | | | | | | | | | | |
| | - | esentative | Chief Auditor | | | | | ber Auditor | | | |
| Dr. Sukma F | | asetya, MT | | Ali N | Iasnun, N | | | | mran, M.A | | |
|] | Distributi | | Auditi | | Audito | ors | SPI | M | files | | |
| D | on E. l. | | 1 | | <u> </u> | 1. | | | 1.6 | 1 | |
| Description of | Findings | | | | | | | | th from nationa | ul I | |
| | | funding source proposals | es, at least 2 | 2 pro | posais ar | ia i inte | | nai tu | naing source | | |
| Criteria | | proposais | | | | | | | | | |
| Root Causes | | The research g | troun is not | rup | ning acco | rding to | the P | oadm | an | | |
| Root Causes | | | - | | - | nunig u | | Oaum | ap | | |
| | | Competitive level of competition Limited number of proposals received Limited | | | | | | | | | |
| | | cooperation at | | | | Linnee | L | | | | |
| Consequence | | There is no rea | | | | es from | nation | al or i | nternational | | |
| 1 | | sources | - | | | | | | | | |
| Recommendat | tion | There needs to be an increase in the competence of lecturers and cooperation | | | | | | | | | |
| | | at the level | | | | | | | | | |
| | | international | | | | | | | | | |
| Auditee Respo | onse | Immediately i | | | | of lectur | ers an | d imp | rove quality | | |
| | | ÷ | and the quantity of cooperation | | | | | | | | |
| Maintenance J | · | Next year | | | | | | | | | |
| Repair Schedu | ıle | Next year | | | Person | | | Dean | | | |
| | | | | | respons | ible | | | | | |
| Prevention Pla | | Budgets the re | | | | | | | Ma Man | | |
| Prevention Sc | hedule | At the beginni | ng/end of e | ach | - | | | Dean | allo pualo | 5 | |
| | | year | | ~ | Answer | | | | | | |
| | | | Place of | | | | | | | | |
| | r. Sukma | Signati | ure | Chi | | Muh. A | li Mas | nun, | Signature | | |
| | erdana Pra | setya, | | Au | ditor | MH | | | | | |
| M | IT | | | | | | | | | | |
| | | | D ' | 11 | | | | | | | |
| | | D D 1 | Review | ed by | · | a • | | | | | |
| Audit Quality A | Assurance | Drs. Djoko | Suwito | | | Signature | | | | | |
| | | | | | | signed | | | | | |

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT UNESA UNESA INTERNAL QUALITY AUDITS | | | | | | | | |
|--|--|-----------|---------|-----------------|-----|----------------|-------|--------------|--|
| | | IN | | • | | | | | |
| | | | COMMU | UNITY SERV | ICE | | | | |
| Auditi | | | | Audit Stage | | | | | |
| Head of Sociology Study Program (Dr. Sukma Perdana | | | | | | | | | |
| | Pras | etya, MT) | | | | | | | |
| Loc | ation | | Scope | | | Audit Date | | | |
| I1.01 (Hea | d of Study | | | | | | Septe | mber 5, 2022 | |
| Program R | loom) | | | | | | _ | | |
| Audit | Representative | | Chief A | uditor | | Member Auditor | | | |
| Dr. Sukma Perda | ukma Perdana Prasetya, MT Muh. Ali Masnun, MH | | | Ali Imron., M.A | | | | | |
| | - | | | | | | | | |
| Distr | ibution | Auditi | | Auditors | | LPPM | | files | |

| QUALITY QUALITY | STATEMENT | C | BSERV | DOCUMENT | SPECIAL |
|------------------------------|---|-----|-------|--------------------|---------|
| ITEMS/REFERENCE | (INDICATOR) | ATI | ON | | NOTE |
| | | RES | ULTS | | |
| | | THE | NO | | |
| | | RE | | | |
| | | IS | | | |
| 17.4 Study Program | Study Program analyzes the results of PkM activities with | V | | referring | |
| Carrying out Analysis of the | achievements | | | on | |
| Results of Pkm Activities | study program standards and PkM roadmap for lecturers | | | | |
| | and students | | | guidelines LPPM | |

| | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | V | | |
|--|---|---|--|--|
| | There is student involvement in PkM activities | V | | |
| 18.1 LPPM and Study Program have a road map standards and guidelines the implementation of PKM | There are roadmap policy documents, standards and guidelines implementation of PkM in LPPM and study programs that can afford it used in guaranteeing the quality of PkM contents | V | There is a PKM Roadmap documen t | |
| 22.4 Mandatory pods give support facilities and infrastructure if LPPM has give permission | There are usage documents means And infrastruct ure PkM activities in study program | V | | |
| | There is a record of the study program's involvement in the implementation of PkM activities in accordance with the road map for PkM activities in the study program | V | | |

Sign Name

Hand Marthaller . NameSignature Auditor: 1. Muh. Ali Masnun,

Auditi: Dr. Prime Minister Prasetya, MT

MH

2. Ali Imran, M.A



RESEARCH AUDIT INSTRUMENTS

| UNESA | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya RESEARCH INTERNAL QUALITY AUDIT | | | | | | | | |
|------------------|--|--------|-----------------|---|--|-----------------------------|-------|-----------|---|
| | | | | Auditi am (Drs. Fransiscus Xaverius Sri | | | Audi | t Stage | |
| Loc | cation | | Scope | | | Audit Date | | | |
| https://meet.goo | meet gle.com/wer-biyg- <u>cf</u> | | | | | September 8, 2022 | | | 2 |
| Audit | Audit Representative | | | Auditor | | | Membe | r Auditor | |
| Drs. FX Sri Sado | Drs. FX Sri Sadewo, M.Sc. | | Muh. Ali Masnun | | | Pambudi Handoyo, S.Sos., MA | | | Ι |
| Distr | ibution | Auditi | | Auditors | | SPM | | LPPM | |

| STANDARDS/QU ALITY POINTS/REFER ENCE | STATEMENT (INDICATOR) | OBSERV ATION RESULTS THE NO RE IS | DOCUMEN T | SPECIAL NOTE | |
|---|--------------------------|---|--------------|-----------------|--|
|---|--------------------------|---|--------------|-----------------|--|

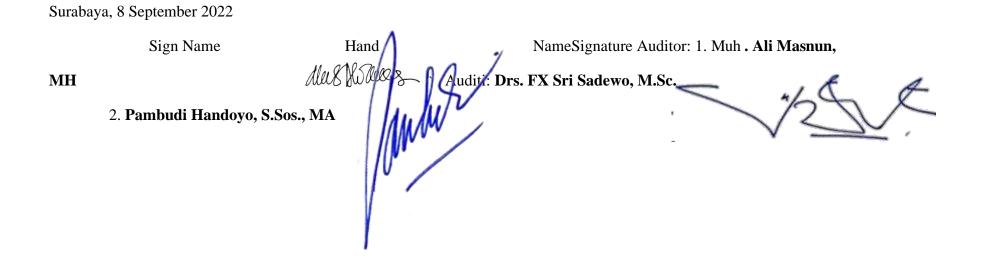
| 9.5. The Study Program | There is a road map that covers the research agenda of | V | The Sociology |
|---------------------------|---|---|----------------|
| has research relevance to | lecturers and students as well as scientific development of | | Study Program |
| the management unit | PS taking into account interdisciplinary or | | has had a |
| every year | multidisciplinary approaches, | | research road |
| | | | map since |
| | | | 2010, in which |
| | | | the road map |
| | | | has been |
| | | | updated |
| | | | following |
| | | | VISION |
| | | | MISSION |
| | | | Adapaun is |
| | | | currently |
| | | | divided into |
| | | | two, namely |
| | | | Sociology of |
| | | | Education and |
| | | | Disabilities |
| | | | background of |
| | | | educational |
| | | | sociology is |
| | | | Unesa as a |
| | | | former IKIP, |
| | | | while |
| | | | disabled as |
| | | | one |
| | | | superiority |
| | | | |

| | | | | unesa in addition to sports and arts |
|--|--|---|------------------------------|--|
| | There are lecturer and student research activities in accordance with agenda study lecturer Which refer to scientific research and development road map PS. | V | Policy Research Decree | There is an obligation from the leadership |
| | There is an evaluation of the suitability of lecturer and student research with maps road, | V | | The evaluation is carried out by the head of study program, Each lecturer makes a roadmap |
| | There is evidence of the use of evaluation results for improvement research relevance | V | | |
| 9.6. Study program determine the percentage number of studies final project student that's on the agenda lecturer research on number of student assignments end of each year | There is a percentage ratio of at least 10% of the number of studies included in the lecturer's research agenda to the number of final project students each year | V | | Every student always forced by DPA for do study in accordance with the clump science |

| | | lecturer. | |
|--|--|-----------|--|

| 11.7. Lecturers in the Study Program involve students in research that is included in the lecturer's research agenda | There is research of final project students who are included in agenda study lecturer to amount final project student 1 research per year | V | | There are 6 student engagemen t studies in 2022 |
|--|---|---|---|---|
| 16.4. study program budgeting funds research in each three years | The study program has a number of funds absorbed average above 10 million / lecturer in a year. | | V | At first RBA study came from from Study Program, However thereby Then managed and sourced from faculty |
| | Program studies have fund from study from source national funds of at least 2 proposals and sources international funds 1 proposal | | V | In the year of 2022 yet there are funds sourced from national or international |

Note: yes / no* = if yes, add supporting evidence Yes / no* = if yes, add supporting evidence L* = More (exceeding SPMI)





No : KKA-S.....-01

INTERNAL QUALITY AUDIT FORM Summary of Audit Conditions

| Auditi Criter | | | | | | | | | a | |
|--|---------------------------|--------------|--------|-------------|---------|--------------------|-------|--------|--------|------------|
| Head of | of Sociology Study Pro | ogram (Dr | s. FΣ | K Sri Sadev | vo, | | | earc | | |
| M.Sc.) | | | | | | Standards | | | | |
| | | | | | | | - | -16 | | |
| | Location Scope | | | | | | | Date | | |
| | Gmeet | | | | | Sep | temł | per 8 | , 2022 | |
| https://r | neet.google.com/wer | | | | | | | | | |
| | -biyg-bdn | | | | | | | | | |
| Audit Representative Chief Auditor Mem | | | | | | mbe | r Au | ıditor | | |
| Drs. F2 | X Sri Sadewo, M.Sc. | Mu | h. Ali | Masnun | | Pambudi Handoyo, M | | | oyo, M | A |
| | Distribution | Auditi | | Auditors | | SPM | | f | files | |
| No. | | Со | nditi | on | | | | | Categ | or |
| Conditi | | Des | cript | ion | | | | | y (O | B / |
| on | | | | | | | | | KTS | 5) |
| 1 | The Study Program does | not yet have | e an a | verage amou | int of | f funds abso | orbec | 1 | KTS | 5 |
| | above 10 million/lecture | r in a year. | | | | | | | | |
| 2 | The study program does | s not vet ha | ve fu | Inding from | rese | arch from r | natio | nal | KTS | 5 |
| _ | funding sources, at least | | | | | | | | | <i>.</i> |
| | C | 2 proposais | anu i | proposarino | 111 111 | | lunu | mg | | |
| sources | | | | | | | | | | |
| 3 | | | | | | | | | | |
| | | | | | | | | | | |
| etc | | | | | | | | | | |
| | | | | | | | | | | |

| | Place of Consent | | | | | | | | | | |
|---|------------------|--------|---------|---------------|--|--|--|--|--|--|--|
| Audit | Drs. FX Sri | | Chief | MA Masnun, MH | | | | | | | |
| Leader | Sadewo, | | Auditor | | | | | | | | |
| | M.Sc. | | | | | | | | | | |
| | | Review | ved by: | | | | | | | | |
| Audit Quality Assurance Dr. Djoko Suwito, M.Pd. | | | | | | | | | | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT FORM

Description of Audit Findings

| Auditi | | | | | | Cri | teria | |
|---------------------------------|---------------------|-----|---------------------|--|----------|-------|------------|--|
| Head of Sociology Study Program | (Drs. FX | Sri | Sadewo, | | Research | | | |
| M.Sc.) | | | | | | Stan | dards | |
| | | | | | | 9- | -16 | |
| Location | Sco | pe | | | Au | dit l | Date | |
| Gmeet | | | | | Sept | temb | er 8, 2022 | |
| https://meet.google.com/wer- | | | | | | | | |
| <u>biyg-bdn</u> | | | | | | | | |
| Audit Representative | Chief Auditor | | Member Auditor | | | | | |
| Drs. Artono, M. Hum | Muh. Ali Masnun, MH | | Muh. Ali Masnun, MH | | Pambuo | di Ha | andoyo, MA | |
| Distribution | Auditi | | Auditors | | SPM | | files | |

| Description of Findings The Study Program does not yet have an average amount of absorbed fund | | | | | | f absorbed funds | | | |
|---|--------------|-----------------------------------|---------------------------|--------|-----------|------------------|------------------|-----------------|--|
| F | | | above 10 million/lecturer | | | | | | |
| | | in a ye | in a year. | | | | | | |
| Criteria | | 2 | | | | | | | |
| Root Cause | S | Policy | that manages the t | oudg | et from | the faculty | | | |
| Consequence | ce | The S | tudy Program does | not l | have a r | esearch-specif | ic RBA | budget | |
| Recommen | dation | Need | to increase the budg | get a | t the stu | ıdy program le | vel so tl | hat there is an | |
| | | RBA | budget | | | | | | |
| | | for res | search with an average | age o | of over | 10 million / lec | cturer | | |
| Auditee Res | sponse | | etter if the manager | nent | of resea | arch funds is ir | n the stu | dy program, | |
| | | | se those who know | | | | | | |
| | | condit | tion is study progra | m no | t facult | У | | | |
| Maintenand | ce plan | Next y | year | | | | | | |
| Repair Sch | edule | Next year | | | Person | | Dean | | |
| | | | responsible | | | | | | |
| Prevention | Plan | Budgets the research RBA annually | | | | | | | |
| Prevention | Schedule | At the beginning/end of each | | | n Person | | Dean Mult Willes | | |
| | | year | | respon | | nsible | | alles pulles | |
| | | | Place of | Con | sent | | | | |
| Audit | Drs. FX Sri | | Signature | Chi | ief | Muh. Ali Ma | snun, | Signature | |
| Leader | Sadewo, M. | Si.) Auditor MH | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | Review | ed by | y : | | | | |
| Audit Qualit | ty Assurance | Drs | s. Djoko Suwito | | Signature | | | | |
| | 2 | | 5 | | | signed | | | |



SURABAYA STATE UNIVERSITY

No : KKA-S.....-01

QUALITY ASSURANCE UNIT

INTERNAL QUALITY AUDIT

FORM

Description of Audit Findings

| Auditi | Auditi Criteria | | | | | | | | Criteria | |
|-------------------------|-----------------|--|--|--|--------|---------|------------|----------------------|----------|-----------------|
| Head of So | ociology St | udy Pr | ogram | (Drs. FX | Sri | Sadev | vo. | | R | esearch |
| M.Sc.) | 05 | 5 | υ | × · | | | , | | St | andards |
| | | | | | | | | 9-16 | | |
| | Location | | | Scope | | | | Audit Date | | |
| | Gmeet | | | | | | | | Septer | nber 8, 2022 |
| https://m | neet.google | .com/v | ver- | | | | | | | |
| | biyg-bdn | | | | | | | | | |
| | Audit Repr | esentati | ive | Ch | nief A | Auditor | • | | Memb | per Auditor |
| Drs. F | X Sri Sadew | | | Muh. A | Ali M | lasnun, | MH | Pa | mbudi | Handoyo, MA |
| | Distribution | n | | Auditi | | Audi | tors | SP | Μ | files |
| Description | of Findings | The st | udy prog | gram does i | not y | et have | funding fr | rom 1 | esearcl | h from national |
| - | U | | | | | | | | | nding source |
| | | propos | | | - | - | | | | - |
| Criteria | | | | | | | | | | |
| Root Cause | s | | | group has n | • | | cording to | the | Roadm | ap (senior |
| | | | 0 | e lecturers j | | r) | | | | |
| | | - | | ate competi | | | | | | |
| | | | Limited number of proposals received Limited | | | | | | | |
| ~ | | | | the interna | | | | <u> </u> | | |
| Consequence | e | | | search funding that comes from national or international | | | | | | |
| Recommend | dation | Source | | | | | | | | |
| Recomment | uation | There needs to be an increase in the competence of lecturers and cooperation at the level | | | | | | | | |
| | | | | | | | | | | |
| Auditee Res | sponse | international Evaluation related to the role of mentoring senior junior lecturers and | | | | | | | | |
| munice Rec | ponse | repairing | | | | | | | | |
| | | | | antity of cooperation | | | | | | |
| Maintenanc | e plan | Next y | | | | | | | | |
| Repair Sche | edule | Next y | /ear | | | Person | n | n Dean | | |
| _ | | - | | responsible | | | ısible | | | |
| Prevention | Plan | Budge | ets the re | search RBA | A an | nually | | | | |
| Prevention | Schedule | At the | beginni | ng/end of e | ach | 0 | | | Dean | Mar Koleez |
| yea | | | | | | Answe | e r | | | mus pulles |
| | | | | Place of | Cons | sent | | | | |
| Audit | Drs. FX Sri | FX Sri Signat | | ure | Chi | ef | Muh. Ali | Muh. Ali Masnun, Sig | | Signature |
| Leader Sadewo, | | | | Auc | ditor | MH | | | | |
| M.Sc. | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | Review | ed by | y : | 1 | | | |
| Audit Quality Assurance | | | 5 | | | U | Signature | | | |
| | | | | | | | signed | | | |

| | SURABAYA STATE UNIVERSITY QUALITY ASSURANCE UNIT Unesa Rectorate Building Tongue Campus Surabaya | | | | | | No. 9 – 1 Rev02 | 6 – PKM- |
|-------------------|--|---------------------|-------------------------------|-----|-----------------------------|---|--------------------|----------|
| <u> </u> | | IN | TERNAL QUALIT COMMUNITY SE | | | | | |
| | | Auditi | | | | A | udit Stage | |
| Head of Soci | ology Study Progr | am (Drs. Fr | ansiscus Xaverius | Sri | | | | |
| Sadewo, M.S | Sc.) | | | | | | | |
| Loca | ation | Scope | | | Audit Date | | | |
| Gm | neet | | | | September 8, 2022 | | | |
| https://meet.goog | le.com/wer-biyg- | | | | | | | |
| | f | | | | | | | |
| Audit Rep | resentative | Chief Auditor | | | Member Auditor | | | |
| Drs. FX Sri Sade | wo, M.Sc | Muh. Ali Masnun, MH | | | Pambudi Handoyo, S.Sos., M. | | | 4 |
| | | | | | | | | |
| Distri | bution | Auditi | Auditor | | LPPM | | files | |

| QUALITY QUALITY | STATEMENT | C | DBSERV | DOCUMENT | SPECIAL |
|------------------------------|--|-----|--------|--------------------|---------|
| ITEMS/REFERENCE | (INDICATOR) | ATI | ON | | NOTE |
| | | RES | ULTS | | |
| | | THE | NO | | |
| | | RE | | | |
| | | IS | | | |
| 17.4 Study Program | Study Program analyzes the results of PkM activities with | V | | referring | |
| Carrying out Analysis of the | achievements | | | on | |
| Results of Pkm Activities | study program standards and PkM roadmap for lecturers and students | | | | |
| | | | | guidelines LPPM | |

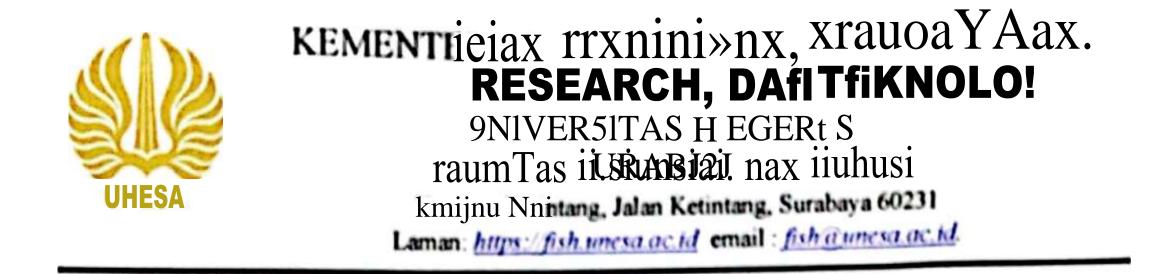
| | There is utilization of the results of PkM Permanent Lecturers who are used in learning at least three PkM results every year. | V | | |
|--|---|---|--|--|
| | There is student involvement in PkM activities | V | | |
| 18.1 LPPM and Study Program have a road map standards and guidelines the implementation of PKM | There are roadmap policy documents, standards and guidelines implementation of PkM in LPPM and study programs that can afford it used in guaranteeing the quality of PkM contents | V | There is a PKM Roadmap documen t | |
| 22.4 Mandatory pods give support facilities and infrastructure if LPPM has give permission | There are usage documents means And infrastruct ure PkM activities in study program | V | | |
| | There is a record of the study program's involvement in the implementation of PkM activities in accordance with the road map for PkM activities in the study program | V | | |

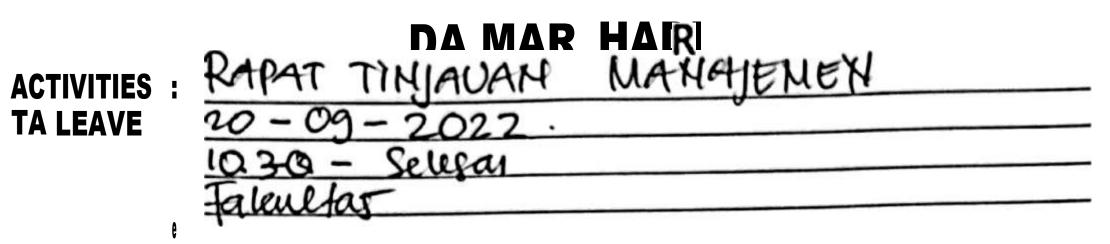
Yes / no* = if 'yes' then need to add supporting evidence $L^* = More$ (exceeding the Unesa Quality Standard)

Surabaya, 8 September 2022 Auditor : Muh. Ali Masnun, MH

Alus Julies `Auditee : FX Sri Sadewo,

M.Sc.





PLACE

| No | Nama | Unit Kerja | Tanda Tangan |
|----|-----------------------------------|--------------------|--------------|
| 1 | M. Turhan Jami | FISH | 1 12 |
| 2 | Muzayangh | GPM | 2 CMF, |
| 3 | Rn NAMIK S | 6rm | 3 1-1. |
| 4 | Maya Mustileo | PPRu | 46 |
| 5 | Adinto Nugroha | Hulun | 5/1-10 |
| 6 | Muh-Ali Masnun | 6pm | 16 augh |
| 7 | Galih ur. Iradana | GPM | ZAN |
| 8 | Dahare T | 1 KOR | <u>, 8 ∞</u> |
| 9 | rait | GPM | 9 - |
| 10 | Anies Inayatuloh | | 10 //// |
| 11 | Artons | s. | 12-5-1 |
| 12 | Sri Martuh | 6pm | 12 728 |
| 13 | tua tlf | IAN | 13 |
| 14 | Sykma perdona Wiwik Sri Ultami | IPS | J14 |
| 15 | Wiwik Soi Utami | | 15 |
| 16 | Indah Prabawati | WD 2 | 16 19. |
| 17 | tatik 14 | Fak | 17 |
| 18 | S. Mufui | WD 2 Fak Goo | 18 2. |
| 19 | | | 19 |
| 20 | | | 20 |

scanned wit CamScanner

| | FORM | No. Document | FM/03-RTM/SPM-UNESA |
|--------|-------------------|--------------|---------------------|
| SIL | | No. Revision | 00 |
| | MANAGEMENT REVIEW | date apply | January 4, 2021 |
| LINESA | MEETING | Page | 1 of 1 |

Appendix Date Management Review Meeting Minutes. September 20, 2022

| No | Problem | Solving | Person responsible | Completio n Plan |
|-------|--|---|-----------------------------------|-------------------------|
| STUDY | Ι | | | |
| 1. | The study program does not yet have an average amount of absorbed funds above 10 million/instructor a year. | Budgeting at the study program level | Dean and Head of Study Program | Even semester 2022/2023 |
| 2. | There is no student research that supports lecturer research. | Student research is suggested to support lecturer research | Head of study program | Even semester 2022/2023 |
| 3. | There are no results of evaluating the suitability of lecturer and student research with the road map | An evaluation of the appropriateness of lecturer and student research is made according to the road map | Head of study program | Even semester 2022/2023 |
| 4 | The road map has not been approved | Approval of the research roadmap will be carried out | Dean | October 2022 |
| СОМ | MUNITY SERVICE | | | |
| 1 | There is no analysis of the results of PkM activities with the achievement of study program standards and PkM roadmap for lecturers and students | was compiled with the achievement of study program standards and PkM roadmap for lecturers and students | Head of study program | Even semester 2022/2023 |
| 2 | There is no document on the use of facilities and infrastructure for PkM activities | the use of facilities and infrastructure for PkM activities is documented by the Study Program | Head of study program | Even semester 2022/2023 |
| 3 | There is no result of the utilization of PkM Lecturer | There is an evaluation of the use of PkM Lecturers | Head of study program | Even semester 2022/2023 |
| | | | | |
| | | | | |



Number : B/59769/UN38.4/TU.00.01/2022 Regarding : Invitation Surabaya, 19 September 2022

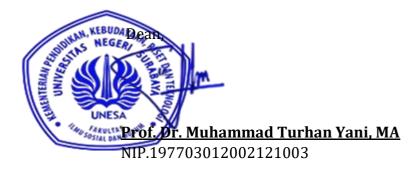
Dear 1. Deputy Dean of Surroundings FISH

- 2. Head of the Surrounding Study Program FISH
- 3. GPM FISH
- 4. Rating and Cooperation Team FISH

Expecting your presence at the meeting which will be held on:

| Day, date | : | Tuesday, September 20 |
|-----------|---|--|
| at | : | 2022 10.30-end |
| place | : | Courtroom Lt. 2 Building I8 FISH |
| agenda | : | Leadership Meeting |
| | | 1. Performance Achievement TW-3 |
| | | 2. Fund Absorption study program |
| | | 3. RTW results of Research and Community Service Audit |
| | | |

We thank you for your attention and presence.



Note

- 1. Please attend to comply with WHO procedures regarding Covid 19
- 2. Use Face mask
- 3. Physical Distancing of approximately 1 meters

4. Use hand sanitizer before entering the room NB: If you are sick, you are advised not to attend

RTM DOCUMENTATION







